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FD-340 (Rev. 4-11-1992)

File Number

302

b3
b7E

Field Office Acquiring Evidence WF

Serial # of Originating Document 79

Date Received 5/9/2016

From

(Name of Contributor/Interviewee)

(Address)

(City and State)

By SL

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

Yes No

Federal Taxpayer Information (FTI)

Yes No

MCDOYER EXAM;
MISHANDLING OF CLASSIFIED

Reference:

(Communication Enclosing Material)

Description:

Original notes re interview of

[redacted] + documents
displayed during interview

b6
b7C

5/3/2016 [redacted]

✓ gears:
✓ screen connect?

12. Hanley import HRC archive - tried remote control sessions. Wasn't working b/c [redacted] not mac guy or old mac. WiFi spotty, machine kept turning off. Was in Mac Mail folders 2009 inbox, 2009 sent items etc. MT said old archived email only existed on laptop & didn't want to lose it. Go to assist or Screen Connect

b6
b7c

3 - Tried various tools, googled solutions. Used dummy gmail acct. Transferred all email to gmail. Connected mgmt. server to gmail account [redacted] then moved to Exchange server - hrcarchive. Deleted from gmail. gave login credentials to [redacted] Monica or Anyone w/ creds could access. Showed her how to access via Outlook web access. Doesn't recall username.

- Someone [redacted] or Monica requested the email be deleted from laptop before shipping back. Selected folders and hit delete

b6
b7c

- [redacted] provided address to ship macbook back to, so used UPS or Post Office to ship back.

b6
b7c

admin
seats ([redacted]) had admin credentials (pmadmin) [redacted] account created by BP during transition also built-in administrator account, but didn't use.

b6
b7c

- BP & JC had admin accounts on old server [redacted] authorized on account & was backup to [redacted] [redacted] only covered a few work tickets

b6
b7c

- handled most day to day tickets. handled backend physical infrastructure

- [] b6 b7c
- [] b6 b7c
- whenever a pm employee leaves, passwords changed

~~July 2014
2016~~

- [] b6 b7c
- CM emailed [] + asked for phone call. On call, CM outlined she needed export of anything to/from a .gov. Worked w/ CM & HS to transfer to their workstations. 1st interaction w/ CM. Previously [] b6 b7c

~~R.~~

- CM only served as POC for exports. [] worked per her direction. HS other-contacted once or twice.

- CM mentioned a need to provide emails to State.

~~Export~~

- Export from live account, not archive. Us.

- Used 7zip to zip + password protect. Used ScreenCom. to [] secure file transfer. Installed 7zip on their workstations. Unzipped + connected to Outlook.

- Inst. Outlook 2013 on HS laptop - didn't have outlook

- [] likely dropped pst on desktop or folder on c: drive b6 b7c

~~September
2014~~

- CM called [] + asked for entire mailbox pst. Same zip + transfer process as before

- Export size options - explained options for method of transfer based on size

- Export of live mailbox, right click, export.

- No conversations w/ CM regarding 1/2009 gap.

19 - 7 zip, compress, screen connect, transfer.

8 - file names. top 3 reference .gov export.
harchive complete - whole "H" mailbox (9/2014 pull)
harchive.ost - remainder of transfer from MFT laptop to gmail
[redacted].pst - no specific details, does not recall creating pst.

huma-gmail-yahoo - memory of importing gmail/yahoo
July & Sept. exports were one pst to each laptop.

- CM & HS workstations running Win 7 or 8

- Outlook → file → open - outlook data file. Did not work off of copy

20 - conversation related to pst transfer.

21 - Outlook not connecting to pst. reestablish connection

22 - target call - doesn't know what about.

23 - More specific export - ~~might~~ doesn't know, no additional pst transferred.
no requests to assist w/ search or create pst.

- CM requested to remove psts in Nov/Dec. 2014 timeframe
- do not want data on laptops anymore
- CM requested a secure delete from laptops - she didn't request deletion software
- Used bleachbit, downloaded same timeframe

- Bleachbit utility securely deletes. overwrites multiple times. had experience w/ bleachbit in the past. does not recall version, downloaded latest.

- Installed BB via remote session on workstations.
Pointed BB at file, did not delete in windows first.
Run BB as a standard user, not admin.
- Does not recall altering settings

b6
b7c

- Would have shredded .pst
- Used BB a handful of times - usually for a financial customer.
- Shred file/folder function straight forward
- Don't recall wiping free space
 - ~~Likely~~ ~~recalls~~ shredding zip file
- Doesn't recall selecting any artifact clean up.
- Took a few minutes.
- Did not verify deletion or target any temp files
- Didn't ~~recall~~ ~~recall~~ shred settings & didn't familiar.
- ~~35,36,37~~
 - Ontrack power controls from Data restore
 - work tickets from .pst file cleanup for deletion from laptops & server
 - Doesn't recall if uninstalled BB from laptop
 - Doesn't believe anyone else (a) PRN aware of deletion
 - ~~CM~~
 - Phone call w/ CM - secure delete off of laptop
 - did not want data on laptops - wanted gone
 - No one followed up later to verify
 - Recommended bleach bit. Arranged later time to do it.
 - No conversations w/ anyone about process used by [redacted] from then until present
 - CM & HS proficient users w/ basic programs
 - CM mentioned once emails printed, could put file on thumb drive & have master copy. - impression it was all emails. CM made comment prior to BB.

b6
b7c

March

2015 42

43

44

45

46

- CM typically emailed to setup a call
- what backup data anywhere it might exist
- anything on old server

b6
b7C

[redacted] flew out to power up gear

b6
b7C

[redacted] copy of mailbox database on old server

[redacted] had call w/ [redacted] while he was there - [redacted] logged in @

b6
b7C

same time. Nothing on NAS or USB external drive

- No memory of stopping Platte backups for admin on 3/8.
Platte often recommends turning off Windows shadow
backup.

[redacted] not sure if admin server part of backups. PRN common
practice to backup admin.

b6
b7C

49

50

[redacted]

b6
b7C

51

51 does not recall content of call, or backups email

52

Forensic
show doc (2)

- Exchange audit logs - Platte Admin mailbox receives
firewall alerts, etc. Does not recall taking actions.

- Full access for prnadmin for H, HDR29, & HRC Archive
- would use Platte Admin to effect mailbox changes on other users
- During winter 2014/15 tasked not to retain any email older
than 60 days for HRC or H4 (ongoing existing)

b6
b7C

[redacted] impression is he realized HRC archived and psfts
on admin server still hanging around, so he removed
based on retention policy of 60 days.

- Did not need to notify users HRC Archive mailbox deleted - they would not be able to login.
- Did not transfer HRC Archive mailbox to any other system.
- Changed mailbox from 30 days to 1 on 5/31 to ensure not hanging around
- CleanMailboxDatabase - cleans whitespace like defrag, Turns on circular logging when doing import, not sure why here
 - Exchange logs certain email that hasn't been committed to database
 - Can be used in badays. ~~sometimes~~
 - Reduces log file size - forces Exchange to commit data to database immediately

55 - shredded any remaining PST files, used whatever was latest version. did not use beta version

- no deletion of free space
- checked folder - files gone
- no errors
- realized he should have deleted + did.

54 - no memory of deleting Datto backups.

- no instruction to delete
- doesn't know if admin backed up to Datto

Forensic show
65 - no insight

- started looking into server replacement
- needed to verify backups
- order new hardware

- [redacted] restore mailbox

b6
b7c

- not likely export.pst contained HLC email
- deleted after done with it.
- did not delete any data after interviewed by FBI in september 2015

47 - ~~int~~ no discussions of what preservation email went.

- interpretation was don't touch anything on email

FBI prescr - Aware of FBI preservation, no meeting w/guidance

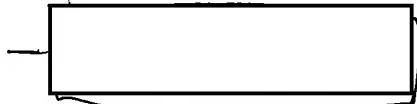
2 zip/pst counts - 8 (July + Sep zip + pst x 2 (cmo + hs))

metadata

- very certain export was from H mailbox
- users might have moved email around
- 8

b6
b7c

5/3 b6
b7c



- 1001 Acknowledgment - acknowledged and indicated defense counsel advised

- Monica Hawley laptop

- email archive - i-report

- mac mail

2009 Inbox / Sent

- old archived emails HLC sent
only existent on laptop and
wanted them on server

- used dummy Gmail acct to
connect to MacBook → Gmail
acct
2/25 - used Outlook

- [redacted] Gmail

- all email content transf.

to Gmail acct.

- moved mail directly to
exchange server

- accessed Gmail acct from
Management server

- bridge to exchange server

- deleted Gmail right after
transfer - no copies

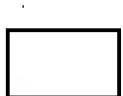
- sent access to [redacted]

- showed her how to access

- web access

- sent laptops - deleted before send

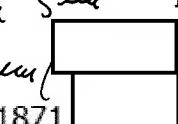
b6
b7c



mail
mailbox now
HLC archival
(exchange)

- not aware how
to empty free space - asked to delete by Monica

- select folder → delete HRC-1871



b6
b7c

- top top
- PRN business FedEx acct.
- between sent UPS

— Sys. Admin. PRN ~ access to CES (5)



b6
b7c

Primary

- PRN admin acct created

~ [redacted] on admin server

b6
b7c

Same time

- Cooper had admin acct.
- Parsons had admin acct.

- all work done on PRN admin

- hist in admin acct. - were used

~ [redacted] - primary

~ [redacted] - back ups

- 3-5 work tickets

[redacted] worked on system and helped [redacted] b6
[redacted] set up equipment b7c

[redacted] was primary - worked over +

tickets

~ [redacted] left summer 2003

- changed PRN password so [redacted] b6
would not have access after b7c
left PRN

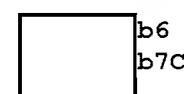
- 7/23

- 7/29

- 7/30

- Mids sent email - set up phone call
- outlined what needed
- intro. via email never worked w/
Mids prior - 1st time

HRC-1872



b6
b7c

- Mills request - POC for email exports
 - .Gov - to / from
 - HRC email - live mailbox
 - HRC archive - Stellar
 - not asked to send / receive
- Mills said had to produce emails to DOS
 - to emails from live mailbox
 - did not ask about archive and did not export emails from HRC archive
- used utility - 7 ZIP
 - zip up archives - SFTP
 - transferred via Screen Connect to Mills / Samuelsen laptop
- Samuelsen laptop
 - installed OUTLOOK
 - Mills laptop had OUTLOOK
- SFTP transfer - no link used
 - separate session - Screen Connect for user Mills / Samuelsen
- 9/29 New Email archive
 - Mills called - asked for entire mailbox for Clinton
 - same process - SFTP transfer
 - live mailbox - everything
 - not HRC archive
 - not requested to send HRC archive

b6
b7c

9/30 export to Mills HRC-1873
- 7 ZIP / compress - Screen Connect
- decompress

- 7 PST⁵ - created on domain server
 - domain server where Outlook installed
 - used to open before sync to make sure PST open
- HRC archive complete - complete H mailbox
 - same name as HRC archive but not file - folder
- OST file - local
 - temp file Outlook using PST
 - used as intermediate
 - copied to exchange server via
 - ~~the~~ does not recall correctly
 - temp file - system
- Human
 - does not recall specifics
 - no export
- July transfer - 1 PST (end product)
 - sent / received by Molls / Sam
- Sept. transfer - complete - 1 PST
 - (laptops used Windows 7 or 8)
- Molls - used entirely of mailbox act. (acct. conversion)
 - made comment had to receive 10⁵ of emails
 - of emails - to DOS
 - no reference to fed. Rec. Act.

July
Sept.

W

b6
b7c

HRC-1874

- Mills / Sam - never received / requested HRC archive file
- CESC had credentials for HRC archive file

10/1 - Mills call
- day after transfer

11/18

- worked w/ Samuelson to re-est. countin
- Sam opened outlook and not fully - lost access - re-est. access
- 2 PST transfers - July / Sept.
 - not asked to do anything else other than Help desk type stuff
 - never asked how to search or create PST

~ 11/

- Mills asked to remove PST's
 - knew what need and don't want it on laptops any longer
 - requested a secure delete from laptops - no mention of deletion software
 - used BleachBit



- utility to overwrite data
- used it previously so chose BB
 - not asked to use BB
 - fairly
- version used? - down not recent

HRC-1875

b6
b7C

BB

- Free utility - downloaded latest version at test time
- installed BB on laptop 5 - 11/s
- point BB at file itself - targeted
- Standard User settings
 - does not recall changing default settings
- shred file function (option)
 - navigates to specific files
- shred PST file
 - no need to change object settings
- Does not recall wiping free space
- Shred PST - begins shred ZIP file but could not recall
- Cleaning function
 - does not recall using cleaning function
- invoke shred function
 - took few minutes at most
 - no errors
 - verify message
- destruction done once at time requested
 - not prior → ZIP
 - ZIP / PST

6

HRC-1876

b6
b7c

BB

- Shred option - go back check (vert gone?)
 - not verbal by - trusted
affinity worked
- outlook creates temp files
 - did not target temp files for shredding

b6
b7c

- did not uninstall
BB after
used

12/12 email retention policy change

1/5 clean up files

→ - Mills conversation regarding deletion of emails from laptops

- wanted securely deleted
 - wanted gone forever from laptops
- on phone w/ Mills (same when wiped)
 - then - live
 - screen connect (go to connect 5.7e)
- was familiar w/ BB so used it
 - within utilities but chose BB
- no conversations w/ anyone regarding
regarding BB - deletion software
used, how used, effectiveness, settings
used, etc.
- "click button" and destroyed
 - trust simple
- claim not recall uninstalling BB
- Mills (same - tech abilities
 - project w/ day to day
 - not advanced - no impression

b6
b7c

- Mills - mentioned during conversation re: PST creation
 - Master copy on thumbdrive created before he created - PRN did not assist +
 - PST - 1 file trivial to move or copy
 - copy and paste
 - not sure which cell w/ Mills likely before used BB (end)
 - 1 master copy and didn't wait for other copies
 - Mills regulated secure delete from laptops
- March 2015 - NYT article
 - Mills - M.O. uses to send email to set up cell
 - wants to see what existed - inventory of what on servers
 - old backup data or copies of mailboxes
 - wanted to know if any other data hanging out there on old equipment
 - [redacted] checked / power w/ old equipment
 - on phone w/ [redacted] describing old equipment
 - Cisco
 - NAS / Seagate HD
 - nothing on either

b6
b7c

b6
b7c

- Host

- Domain controller, Exchange server, admin server
- Backups - not sure if Datto B6 or admin server
- Mills used Gmail acct to connects w/

b6
b7C

*

- 3/25

call w/ CESC

- does not recall call

- ~~can't~~ - ~~is~~ interesting

b6
b7C

- Plotte Admin user acct.

- just log in at admin level user

- mailbox permissions

- full access

- remove doc shown

- does not recall taking action - has wondered if cleaned up a matter or course

- CESC asked not retain

- ~~Mills~~ HRC emails beyond 60 days

- Client and Home (users)
- did not want any ~~old~~ emails on server past 60 days

H, HRC, HRC archive

- retention policy changes - result in deletion of emails ~60 days or older - wiped

b6
b7C

- removed HRC archive manually since everything older 60 days

- March 2015

- change retention policy
- deletion PST archive server

- ~~out~~ articles - started back up - shredded probability check
 - found other emails for dates (emails)
 - ~~not needed~~ deleted
 - returned emails still there but needed deleted
 - HRC archive found so got rid of them

- Admin servers/acs

- PRN giving access to PRN

b6
b7C

- removed HRC archive

b6
b7C

- retention ^{policy} by vault box after HRC archive deleted
 - 30/1 extra step to make sure user gone and client having around for 30 days

3/31 BB installed on admin server

- shred PST files found
 - doesn't recall which PST found
- BB version
- no deletion of free space

- did not confirm by looking at files after shred

- Did not talk to MHS about files found and deleted after early March 2015

- "Off shift moment" - should have deleted files so did

b6
b7C

→ no one instructed her to delete
Datto backups in March 2015
↳ manual deletion

- 9/25 (after 1st interview)
 - Forensic doc
 - didn't show users verified
 - did not delete any data after FBI interview
 - no OOT SHOT movements

b6
b7C

- Preservation ITR from FBI
 - sure got it - no guidance
 - was aware of FBI pres.
 - don't screw around w/ server

- Laptops
 - BB on 8 files

July zip PST x 2
Sept. zip PST

- - only remember fact for IT timeliness
and not for HRC archive
 - possible exported HRC archive into live
mailbox at some point
 - did not help cesc create PST files
 - not familiar w/ what HRC produced
to DOS so could have used HRC archive
for production

b6
b7C

5/3/16

PRN Invoice - Monica Hanley to import HRC Archive

probably started remote control sessions. Wasn't working b/c other Mac + was screen not a Mac guy. WiFi was spotty. Machine cutting off. Connect. Or So, they shipped it.

Citrix GoTo 2009 - Inbox 2009 - Sent Items → in Mac Mail

She told me it was old archive email that only existed on that laptop.

PRN Invoice 2/25/14

I can't recall specific steps. Ran out of ways

Used dummy gmail account, transferred all email to this gmail account. Used outlook to import. ^{Did it}

Deleted it from gmail account on web. Right after.

Name of mailbox on Exchange Server → HRC Archive

b6
b7C

I gave access to either Monica or [redacted] can't remember

But [redacted] b/c Monica left, I only sent the access to [redacted]

Just gave username + password to access outlook web access.

Mailbox name = HRC Archive, username probably hrcarchive

Did you wipe/delete the email from the laptop? Yes I deleted the folders from within Mac Mail. That was it. No other steps taken.

Shipped it probably via UPS.

Sys Admin ^{access} [redacted]

b6
b7C

In theory, [redacted]

5/3/16

b6
b7C

[redacted] - Bryan set it up for me. When we were doing initial discovery. Did you use it again? probably. If [redacted] had to be on server at same time

prnadmin -

b6
b7C

Administrator - built-in. To his knowledge, doesn't remember using it. Bryan & Justin Cooper had accounts. Probab

b6
b7C

role -

[redacted] backup. Work tickets sent reflect extent of work when

[redacted] worked on initial move & after FBI took possession

[redacted] left summer of last year. Would have changed the prnadmin pw.

PRN Invoices - 7/23/14, 7/29/14, 7/30/14

1st time he Cheryl emailed 1st to set up phone call. She outlined the work. talked to her. Initially exported everything from/to .GOV email until last day as Sec. Either [redacted] Did a search for *.GOV against live mailbox - "H" not or [redacted] + [redacted] "HRC Archive" mailbox.

b6
b7C

Did Cheryl tell you why to do the export? To give to state.

Was the static HRC Archive mailbox part of the search for .GOV?

↳ No.

Used utility, 7zip to zip the archives put password on.

Used Screen Connect to connect to their laptops

Installed 7zip on their machines.

b6
b7C

Only installed Outlook 2013 on Heather's laptop/c shredder
haven't have it

Screen Connect — he dropped it on machine. Didn't click a link.

Put it on machine but can't recall where he put it.

Used 7zip to open it on machine. He put in password

2nd Export - 9/29/14

Let's just pull all her email & do the same method as before.

Look at email size requirements to see what's best way to transfer

How did you create the export PST on 9/29/14?

↳ Went to live mailbox to pull all

↳ Did not go to HRC Archive to pull email

[Exhibit 19] Transfer via Screen Connect

[8] Filenames for the exports?

Would make sure the PST files opened on Admin server

"HRC Archive" complete is probably the full mailbox - 2nd export

↳ OST looks to be a remnant of the initial import of email
from gmail-temp file that Outlook email creates

PST — why on Admin server? Probably an artifact of import

b6
b7c

Huma yahoo-gmail.pst — don't recall export of Huma's email

Just transferred E 1 pst to Mills/Samuelson

HRC Archive - complete - was full export based on what I'm seeing

What OS were laptops running? ^{W7} or Wn 8

Same pst file was transferred to both laptops.

When transferred the file, he didn't make a copy of the file to work from. Did not move it. Used File > Open in Outlook

Did not provide or recall conversations about providing access to HRC Archive mailbox (static)

[Exhibit 20] ^{prey} May have closed the pst file from Outlook or maybe dragged & dropped the file. The pst file/emails are no longer in Outlook - ^{could be} any of things

[E. 23] "more specific export" requirements on 11/24/14

↳ could be text editor to 1 email address ~~>█████~~ that was around 7/2014. says "OK"

b6
b7c

↳ He is definitely ~~sure~~ there was not another export request.

Did they ask you to help them search? No. Did they ask you to createpst? No

Did they ask you to remove pst's? Yes. Time? Around fall.

Most of the calls were from Cheny 1, so assume it was Cheny 1

5/5/14

(5)

What did they say? We've got what we need

Cheryl specifically requested a "secure delete" of the files

Chose Bleachbit - overwrites multiple times.

If you google secure delete, there are a dozen

b6
b7c

says he's used it before. A handful of times. Not often

Usually for financial clients.

Version #? No can't recall. Free utility

Timeline? Around the time

Did you install BB on machines? Yes, I downloaded it to their machines.

Did you delete the files before using it? No, just point it at the file. *I think you*

Standard user - probably

Went to Shred Files, navigate to file & select it.

Don't recall wiping free space. Secure delete.

Can't recall whether he ~~shredded~~ shredded pst files and zips. *B*

Doesn't take long. Success message. Does not recall any errors.

Did you verify the deletion? Nothing further to do.

Did not go back & look at the directory

I'm sure Outlook creates tmp files but I didn't target them

Option for Shred Settings & Quit - does not sound familiar

b6
b7c

5/3/16

[E. 35] 'Clean up' files on 1/5/15 \Rightarrow one or both of these is referring to
cleaning PST files from laptop

Power Controls for Exchange - standard email tool that comes w/ Office

Did you uninstall BB from laptops? No

Did anyone else at PRN know you used the SW? No. no need.

Cheryl's request to "Secure delete" the files - call or email?

They wanted it gone from the laptops.

I was on the phone w/ them when I did it.

They just went with what I recommended. BB. I have a
utility that securely deletes it.

Might have been 2 conversations as they were wrapping up.

copy?

Do you recall any conversations w/ 3rd parties about the
process of secure deletion? No.

I don't recall uninstalling it from machines

Does BB retain any log files on system? Don't know.

Cheryl did say once we're done printing, they would put the
emails on a thumb drive & have a "master" copy. At the end of the process
presumes it would have been before using BB.

5/3/16

b7c

[E.42] Internal discussions

[E.43] Share drive

[E.44] Firewall

How does Cheryl reach out about the inventory?

↳ What backup data did we have? Was there old equipment that had data.

↳ Some stuff we couldn't do remotely, b/c equip. was off

Wondering about old backup data or copy of email data

@ What did you do? powered up old gear. emailed her

b6
b7c

gmail.com to tell her we didn't have anything.

What about your involvement/response?

Review Datto Make sure its backing up.

Was on the phone w/ at some point

I was logged in w/ him

Did not talk about HRC Archive mailbox at the time?

Datto backup - ~~del~~ could be that the Datto backup policy didn't match

DC, Admin, Exchange, BES. Don't recall if Admin server was ^{b6 b7c} backed up.

Did not recall any instances of having to restore Admin/Exchange.

Volume Shadow Copy service - sometime Datto would recommend

turning off VSC service in order for backups to perform correctly

b6
b7c

HRC-1888

5/5/14

(8)

[51] CESC call

[52] What about backups? I don't know specifically what
[redacted] is referring to

b6
b7C

Platte Admin Mailbox on Exchange Server

↳ Firewall logs go there

Have to log in as admin user to □ permissions on accounts

Full Access - mailbox permissions for prnadmin

Need this to provide permissions to act on specific mailboxes

Remove-Mailbox action - Do not recall this, but it had wondered

b/c they told us to □ retention policy after pst files

were created in Nov. Only wants emails for Human & H

for period of 60 days. Does she say why? No.

This would have only affected the t [redacted]

b6
b7C

The removal was something separate b/c they didn't

want email older than 60 days.

Did you have to give notice to anyone before you removed the mailbox?

Extra step to make sure the mailbox is removed

Clean Mailbox function on 3/31/15 - normal maintenance function

not sure it's related

Circular Logging - ~~enabled~~ enable it to affect a big migration

So log files don't fill up.

Doesn't know why he would have run it at this point.

b6
b7C

(9)

sysv1.7

BB → Version used would have been whatever is on site at the
Free Space? No

Errors? No

Confirm? Just by looking at folder, but other than that, no.

b6
b7c

⇒ Had a "panick" moment & didn't delete everything at the time -

Datto backups - deletion. When we were reviewing the data,
No one instructed him to delete them.

[9/25/15] Does not recall why he would be changing permissions

[DOC] Export.pst & deletions on 9/25/15

When we started looking into server replacement when FBI
takes server.

On Track [redacted] tool from Datto to have it propped & ready.

b6
b7c

[4-9] Export.pst. Could have been when [redacted] deleted a bunch
of emails & had to restore.

Preservation email from Cheng [CC: [redacted]]

b6
b7c

My interpretation was don't touch anything on the email server.

July preservation letter - was this shared w/ PRN?

b6
b7c

HRC-1890

5/3/16

Follow up questions

pst files + zip files on laptops.

When you use BB \Rightarrow zip + psts for July \Rightarrow 8 total
zip + psts for ~~10~~ Sept. files

When you produced full set of email, you say you did not
use HRC Archive to produce? Correct.

Believes it was just from "H" mailbox not "HRC Archive"

1

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HRC-1907

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HRC-1909

PST files and Outlook Profiles –Admin Server

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File Name	Created	Modified	Path	Status
HRC .gov email Archive.pst	Shortcut file (.lnk) 7/23/2014 11:43 AM	7/23/2014 11:43 AM	C:\Users\administrator.CLINT ON\Desktop	Not on system
HRC .gov emails.pst	Shortcut file (.lnk) 7/23/2014 3:46 PM	7/23/2014 3:46 PM	C:\Users\administrator.CLINT ON\Desktop	Not on system
HRC gov emails.pst	Shortcut file (.lnk) 7/23/2014 3:48 PM	7/23/2014 3:48 PM	C:\temp	In Recycle Bin (7/23/14), no content
export.pst	Shortcut file (.lnk) 7/23/2014 4:38 PM	11/18/2014 11:11 AM	C:\temp	Not on system
HRC archive – complete.pst	Unknown	Unknown	C:\temp	Not on system
hrcarchive@clinton email.com – HRC archive.ost	Unknown	Unknown	C:\Users\administrator.CLINT ON\AppData\Local\Microsoft\Outlook	Not on system
[REDACTED] [REDACTED]@gmail.com – [REDACTED] temp.pst	Unknown; tmp file created on 11/24/14 10:55 AM; [REDACTED] temp.srs (Outlook send/receive data file) created 2/26/14; [REDACTED] temp.xml (Outlook settings file) created 2/28/14	tmp file modified on 11/24/14 10:55 AM; [REDACTED] temp.srs (Outlook send/receive data file) modified 2/27/14	C:\Users\administrator.CLINT ON\AppData\Local\Microsoft\Outlook	Not on system b6 b7C
huma-gmail-yahoo.pst	Shortcut file (.lnk) 11/24/14 12:15 PM		C:\Users\administrator.CLINT ON\Desktop\	Not on system

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HRC-1913

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HRC-1915

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18

HRC-1917

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20

21

22

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 11-30-2016 BY J76318T80 NSICG

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DATE 11-30-2016 BY 376J18T80 NSICG

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BleachBit

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File Edit Help

Preview Clean

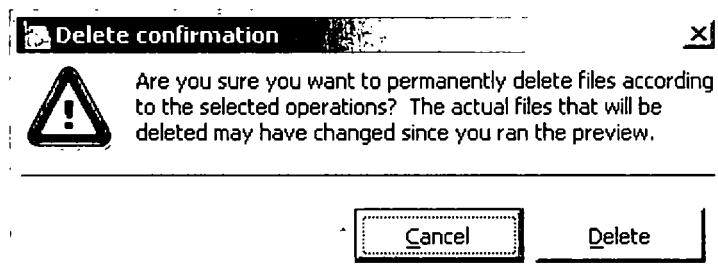
Name	Active
Deep scan	<input type="checkbox"/>
.DS_Store	<input type="checkbox"/>
Backup files	<input type="checkbox"/>
Temporary files	<input type="checkbox"/>
Thumbs.db	<input type="checkbox"/>
Internet Explorer	<input type="checkbox"/>
Cookies	<input type="checkbox"/>
Form history	<input type="checkbox"/>
History	<input type="checkbox"/>
Temporary files	<input type="checkbox"/>
Microsoft Office	<input type="checkbox"/>
Debug logs	<input type="checkbox"/>
Most recently used	<input type="checkbox"/>
System	<input type="checkbox"/>
Clipboard	<input type="checkbox"/>
Custom	<input type="checkbox"/>
Free disk space	<input type="checkbox"/>
Logs	<input type="checkbox"/>
Memory dump	<input type="checkbox"/>
MUICache	<input type="checkbox"/>
Prefetch	<input type="checkbox"/>
Recycle bin	<input type="checkbox"/>
Temporary files	<input type="checkbox"/>
Update uninstallers	<input type="checkbox"/>
Windows Explorer	<input type="checkbox"/>
Most recently used	<input type="checkbox"/>
Recent documents list	<input type="checkbox"/>
Run	<input type="checkbox"/>
Search history	<input type="checkbox"/>
Thumbnails	<input type="checkbox"/>

File Edit Help

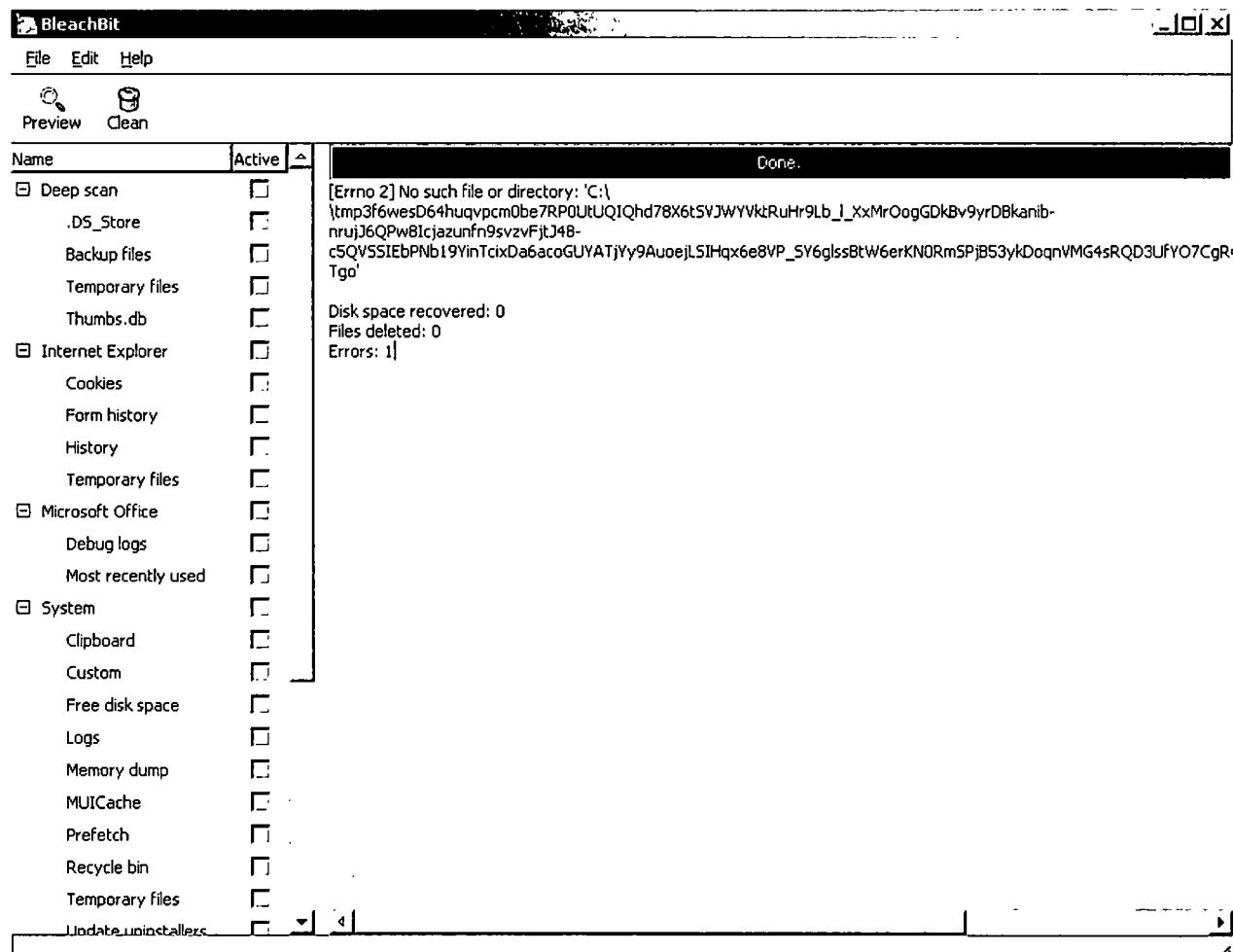
Preview Clean

Name	Active
Thumbs.db	<input type="checkbox"/>
Internet Explorer	<input type="checkbox"/>
Cookies	<input type="checkbox"/>
Form history	<input type="checkbox"/>
History	<input type="checkbox"/>
Temporary files	<input type="checkbox"/>
Microsoft Office	<input type="checkbox"/>
Debug logs	<input type="checkbox"/>
Most recently used	<input type="checkbox"/>
System	<input type="checkbox"/>
Clipboard	<input type="checkbox"/>
Custom	<input type="checkbox"/>
Free disk space	<input type="checkbox"/>
Logs	<input type="checkbox"/>
Memory dump	<input type="checkbox"/>
MUICache	<input type="checkbox"/>
Prefetch	<input type="checkbox"/>
Recycle bin	<input type="checkbox"/>
Temporary files	<input type="checkbox"/>
Update uninstallers	<input type="checkbox"/>
Windows Explorer	<input type="checkbox"/>
Most recently used	<input type="checkbox"/>
Recent documents list	<input type="checkbox"/>
Run	<input type="checkbox"/>
Search history	<input type="checkbox"/>
Thumbnails	<input type="checkbox"/>
WinZip	<input type="checkbox"/>
Most recently used	<input type="checkbox"/>
WordPad	<input type="checkbox"/>
Most recently used	<input type="checkbox"/>

Clean function

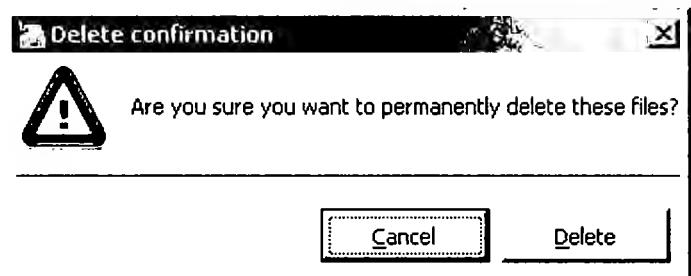


Wipe Free Space Error

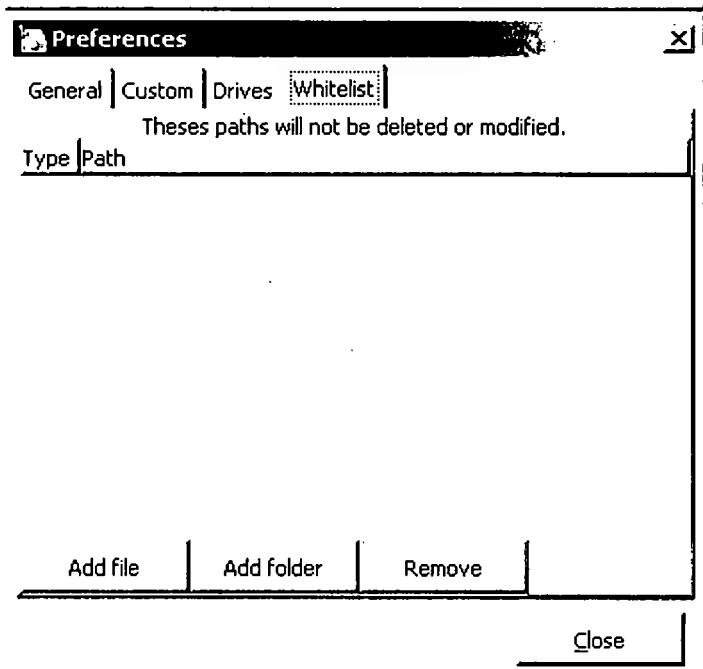
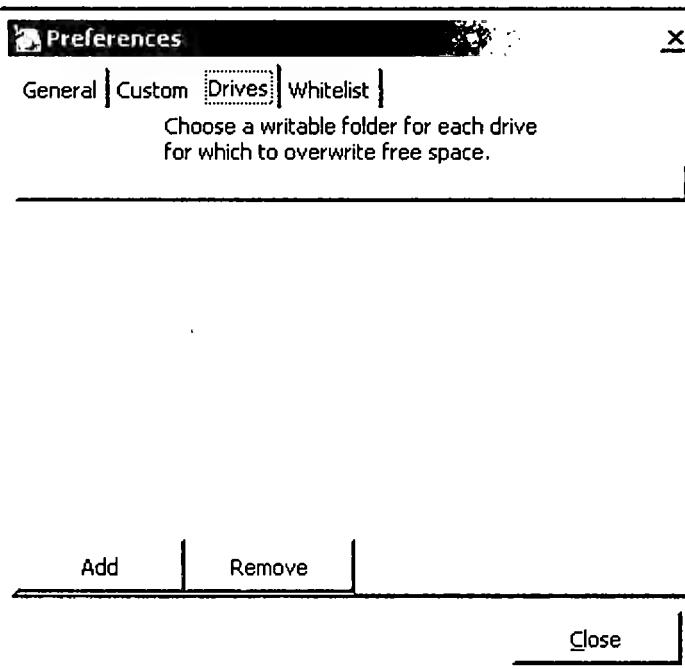
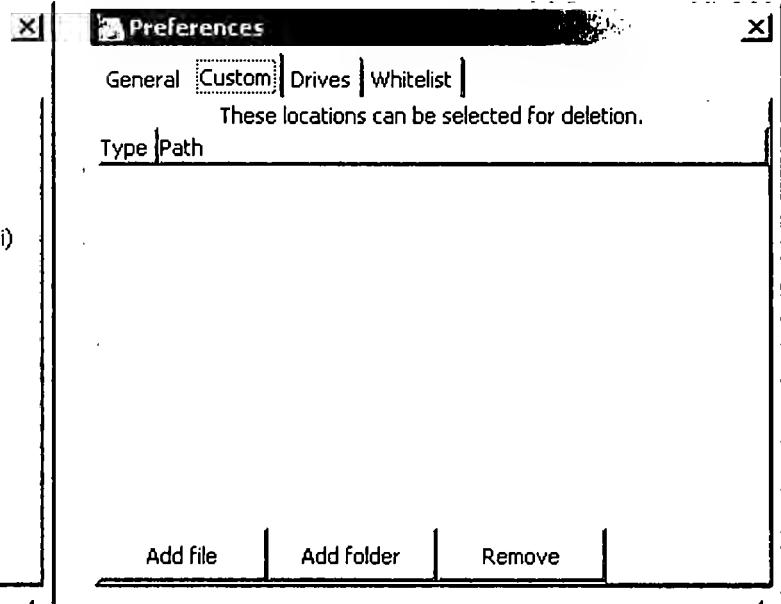
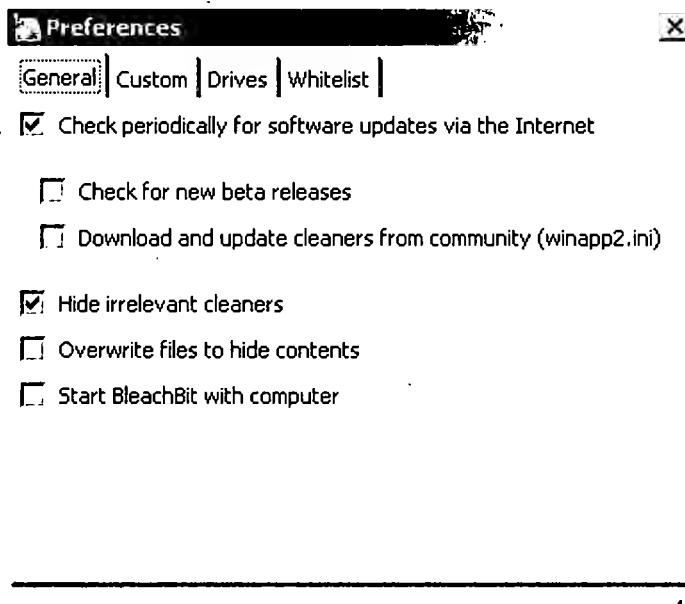


Shred Files, Shred Folders, Wipe Free Space

Menu items open dialog window to select items



Preferences



35

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Export.pst on Admin Server - 9/25/2016

Line	Date	Time	Activity
1	9/25/2015	10:13 AM	Account prnadmin logs in to PRN Admin Server.
2	9/25/2015	10:24AM	Outlook Office Alert event log message "Are you sure that you want to permanently delete the selected item(s)? " on PRN Admin Server.
3	9/25/2015	10:28 AM	Outlook Office Alert event log message "Are you sure you want to remove this mailbox?" on PRN Admin Server.
4	9/25/2015	10:38 AM	Shortcut files "OnTrack PowerControls for Exchange.lnk" and "Ontrack PowerControls ExtractWizard.lnk" created in the Windows Start menu on the PRN Admin Server.
5	9/25/2015	10:44 AM	prnadmin.CLINTON NTUSER.DAT Ontrack Windows registry key "Temp Path History" updated with data " C:\temp" on the PRN Admin Server.
6	9/25/2015	10:44 AM	Last modification date for C:\temp on the PRN Admin Server.
7	9/25/2015	2:10:46 PM	Shortcut file "C:\Users\prnadmin.CLINTON\AppData\Roaming\Microsoft\Windows\Recent\Export.lnk" pointing to "C:\Users\prnadmin.CLINTON\Desktop\Export.pst" created on PRN Admin Server.
8	9/25/2015	2:10:46 PM	prnadmin.CLINTON NTUSER.DAT "\Software\Microsoft\Windows\CurrentVersion\Explorer\ComDlg32\OpenSavePidIMRU\pst" registry updated with value "Export.pst"
9	9/25/2015	2:10:47 PM	prnadmin.CLINTON registry key Software\Ontrack\PowerControls\Export File Path History updated with value "C:\Users\prnadmin.CLINTON\Desktop\Export.pst"
10	9/25/2015	2:10:48 PM	Application event log on PRN Admin Server indicates Outlook message " The store C:\Users\prnadmin.CLINTON\Desktop\Export.pst is being re-pushed to the indexer for the following reason: Newly created store."
11	9/25/2015	2:56 PM	Last written timestamp for RecentDocs\.pst Registry subkey showing only one value for Export.pst on the PRN Admin Server. NOTE: This is likely the most recent time the Export.pst file was accessed.
12	9/25/2015	3:56 PM	Shortcut file "C:\Users\prnadmin.CLINTON\AppData\Roaming\Microsoft\Windows\Recent\Export.lnk" pointing to "C:\Users\prnadmin.CLINTON\Desktop\Export.pst" accessed/modified timestamp AND IE History indicates "C:/Users/prnadmin.CLINTON/Desktop/Export.pst" visited on PRN Admin Server.
13	9/25/2015	4:40 PM	Outlook message in the Office Alerts event log on the PRN Admin Server indicates " Are you sure you want to remove this profile from the system?"
14	9/25/2015	4:43 PM	An Outlook message in the Office Alerts event log indicates " Are you sure you want to remove this profile from the system?"
15	9/25/2015	4:44 PM	prnadmin registry key \Software\Microsoft\Windows NT\CurrentVersion\Windows Messaging Subsystem\Profiles updated (prnadmin profile not present in registry)

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Exchange Audit Logs

"H" Mailbox – Full Access Rights (3/25/15)

clinton.local/Users/Platte Admin : Add-MailboxPermission

Cmdlet Name: Add-MailboxPermission
Object Modified: clinton.local/MyBusiness/Users/SBSUsers/H
Modified Object Resolved Name: H
Parameter: Identity = clinton.local/MyBusiness/Users/SBSUsers/H
Parameter: User = CLINTON\pmadmin
Parameter: AccessRights = FullAccess
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-25T19:25:58
OriginatingServer: CESC-EXCH01 (14.02.0341.000)

"HDR29" Mailbox – Full Access Rights (3/25/15)

clinton.local/Users/Platte Admin : Add-MailboxPermission

Cmdlet Name: Add-MailboxPermission
Object Modified: clinton.local/MyBusiness/Users/SBSUsers/HDR29
Modified Object Resolved Name: HDR29
Parameter: Identity = clinton.local/MyBusiness/Users/SBSUsers/HDR29
Parameter: User = CLINTON\pmadmin
Parameter: AccessRights = FullAccess
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-25T19:26:40
OriginatingServer: CESC-EXCH01 (14.02.0341.000)

"HRC Archive" Mailbox – Full Access Rights (3/25/15)

clinton.local/Users/Platte Admin : Add-MailboxPermission

Cmdlet Name: Add-MailboxPermission
Object Modified: clinton.local/MyBusiness/Users/SBSUsers/HRC Archive
Modified Object Resolved Name: HRC Archive
Parameter: Identity = clinton.local/MyBusiness/Users/SBSUsers/HRC Archive
Parameter: User = CLINTON\pmadmin
Parameter: AccessRights = FullAccess
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-25T19:31:22
OriginatingServer: CESC-EXCH01 (14.02.0341.000)

Exchange Audit Logs

“HRC Archive” – Remove Mailbox (3/25/15)

clinton.local/Users/Platte Admin : Remove-Mailbox

```
Cmdlet Name: Remove-Mailbox
Object Modified: clinton.local/MyBusiness/Users/SBSUsers/HRC Archive
Modified Object Resolved Name: HRC Archive
Parameter: Identity = clinton.local/MyBusiness/Users/SBSUsers/HRC Archive
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-25T19:35:20
Originating Server: CESC-EXCH01 (14.02.0341.000)
```

“CESC Mailboxes” – Mailbox Retention from 30 days to 1 day (3/25/15)

clinton.local/Users/Platte Admin : Set-MailboxDatabase

```
Cmdlet Name: Set-MailboxDatabase
Object Modified: CESC Mailboxes
Parameter: Identity = CESC Mailboxes
Parameter: MailboxRetention = 1:00:00:00
Parameter: DeletedItemRetention = 30:00:00:00
Property Modified: MailboxRetention = 1:00:00:00
Property Modified: DeletedItemRetention = 30:00:00:00
Property Original: MailboxRetention = 30:00:00:00
Property Original: DeletedItemRetention = 14:00:00:00
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-25T19:35:38
Originating Server: CESC-EXCH01 (14.02.0341.000)
```

“CESC Mailboxes” – Clean Mailbox Database (3/31/15)

clinton.local/Users/Platte Admin : Clean-MailboxDatabase

```
Cmdlet Name: Clean-MailboxDatabase
Object Modified: CESC Mailboxes
Parameter: Identity = cesc mailboxes
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-31T15:23:12
Originating Server: CESC-EXCH01 (14.02.0341.000)
```

Exchange Audit Logs

“CESC Mailboxes” – Deleted Item Retention from 30 days to 1 day (3/31/15)

clinton.local/Users/Platte Admin : Set-MailboxDatabase

```
Cmdlet Name: Set-MailboxDatabase
Object Modified: CESC Mailboxes
Parameter: Identity = CESC Mailboxes
Parameter: DeletedItemRetention = 1.00:00:00
Property Modified: DeletedItemRetention = 1.00:00:00
Property Original: DeletedItemRetention = 30.00:00:00
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-31T17:37:56
OriginatingServer: CESC-EXCH01 (14.02.0341.000)
```

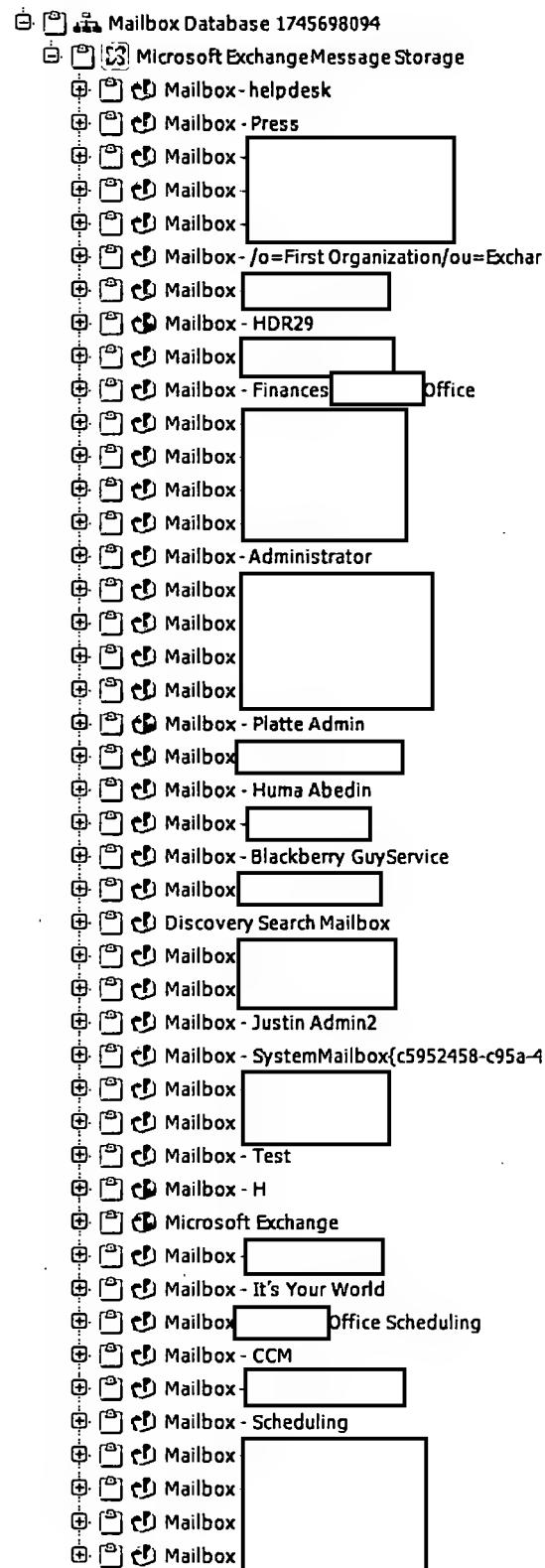
“CESC Mailboxes” – Circular Logging Enabled (3/31/15)

clinton.local/Users/Platte Admin : Set-MailboxDatabase

```
Cmdlet Name: Set-MailboxDatabase
Object Modified: CESC Mailboxes
Parameter: Identity = CESC Mailboxes
Parameter: CircularLoggingEnabled = True
Property Modified: CircularLoggingEnabledValue = 1
Property Original: CircularLoggingEnabledValue = 0
Property Original: CircularLoggingEnabled = False
Caller: clinton.local/Users/Platte Admin
Succeeded: True
Error: None
Run Date: 2015-03-31T22:23:34
OriginatingServer: CESC-EXCH01 (14.02.0341.000)
```

Exchange Server Mailbox EDB (10-3-2015)

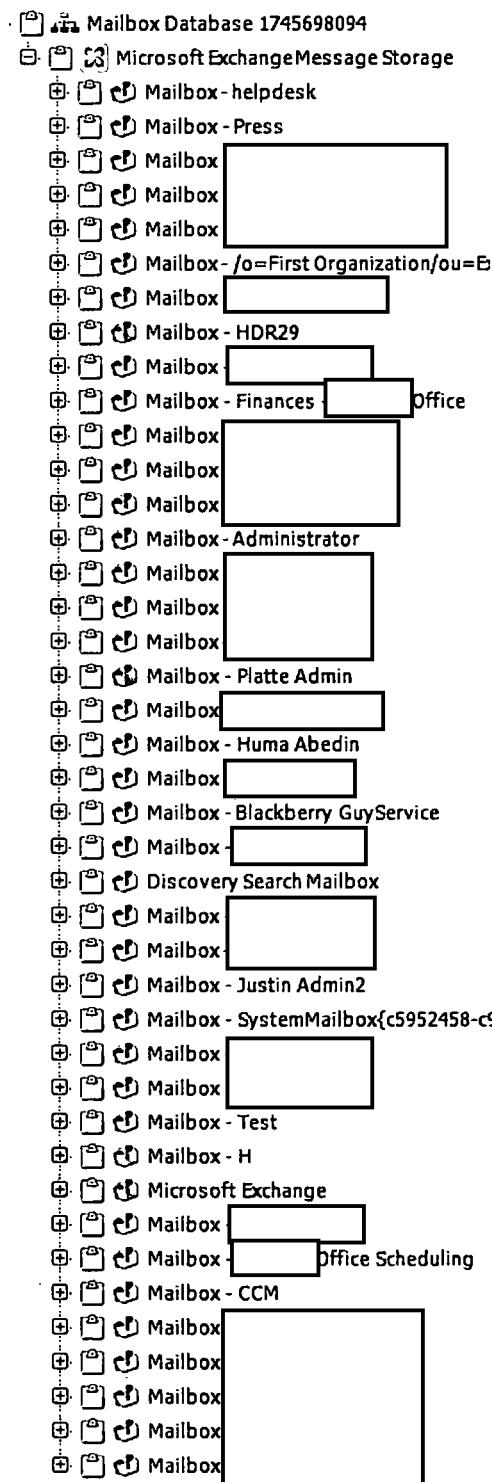
Mailboxes



b6
b7C

Mailboxes from Exchange Server Mailbox EDB (6-12-2015 Backup)

Mailboxes



b6
b7C

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Add-MailboxPermission and Remove-MailboxPermission

"H", "HDR29" mailboxes

b6
b7C

clinton.local/MyBusiness/Users/SBSUsers [REDACTED] Set-MailboxMe	8/1/2015 11:10:12 AM	8/1/2015 11:10:12 AM	8/1/2015 11:10:12 AM	8/1/2015 11:10:12 AM
clinton.local/Users/Platte Admin : New-DistributionGroup	8/1/2015 11:12:37 AM	8/1/2015 11:12:37 AM	8/1/2015 11:12:37 AM	8/1/2015 11:12:37 AM
clinton.local/Users/Platte Admin : Add-DistributionGroupMember	8/1/2015 11:13:03 AM	8/1/2015 11:13:03 AM	8/1/2015 11:13:03 AM	8/1/2015 11:13:03 AM
clinton.local/Users/Platte Admin : Add-DistributionGroupMember	8/1/2015 11:13:03 AM	8/1/2015 11:13:03 AM	8/1/2015 11:13:03 AM	8/1/2015 11:13:03 AM
clinton.local/Users/Platte Admin : Set-Mailbox	8/1/2015 11:13:24 AM	8/1/2015 11:13:24 AM	8/1/2015 11:13:24 AM	8/1/2015 11:13:24 AM
clinton.local/Users/Platte Admin : New-Mailbox	8/12/2015 10:03:54 AM	8/12/2015 10:03:54 AM	8/12/2015 10:03:54 AM	8/12/2015 10:03:54 AM
clinton.local/Users/Platte Admin : Set-Mailbox	8/12/2015 10:04:50 AM	8/12/2015 10:04:50 AM	8/12/2015 10:04:50 AM	8/12/2015 10:04:50 AM
clinton.local/Users/Platte Admin : Add-DistributionGroupMember	8/12/2015 10:10:05 AM	8/12/2015 10:10:05 AM	8/12/2015 10:10:05 AM	8/12/2015 10:10:05 AM
clinton.local/MyBusiness/Users/SBSUsers/Press : Set-MailboxMessage	8/13/2015 11:51:41 AM	8/13/2015 11:51:42 AM	8/13/2015 11:51:42 AM	8/13/2015 11:51:42 AM
clinton.local/Users/Platte Admin : New-Mailbox	8/25/2015 11:28:47 AM	8/25/2015 11:28:48 AM	8/25/2015 11:28:48 AM	8/25/2015 11:28:48 AM
clinton.local/Users/Platte Admin : Set-Mailbox	8/25/2015 11:31:33 AM	8/25/2015 11:31:33 AM	8/25/2015 11:31:33 AM	8/25/2015 11:31:33 AM
clinton.local/MyBusiness/Users/SBSUsers [REDACTED] Set-MailboxMe	8/25/2015 2:49:02 PM	8/25/2015 2:49:03 PM	8/25/2015 2:49:03 PM	8/25/2015 2:49:03 PM
clinton.local/MyBusiness/Users/SBSUsers [REDACTED] Set-MailboxMe	8/25/2015 2:51:10 PM	8/25/2015 2:51:10 PM	8/25/2015 2:51:10 PM	8/25/2015 2:51:10 PM
clinton.local/Users/Platte Admin : Set-Mailbox	8/26/2015 12:04:56 PM	8/26/2015 12:04:56 PM	8/26/2015 12:04:56 PM	8/26/2015 12:04:56 PM
clinton.local/Users/Platte Admin : Set-DistributionGroup	8/26/2015 5:05:28 PM	8/26/2015 5:05:28 PM	8/26/2015 5:05:28 PM	8/26/2015 5:05:28 PM
clinton.local/Users/Platte Admin : New-Mailbox	8/28/2015 2:14:57 PM	8/28/2015 2:14:57 PM	8/28/2015 2:14:57 PM	8/28/2015 2:14:57 PM
clinton.local/Users/Platte Admin : Set-Mailbox	8/28/2015 2:15:19 PM	8/28/2015 2:15:19 PM	8/28/2015 2:15:19 PM	8/28/2015 2:15:19 PM
clinton.local/Users/Platte Admin : Remove-DistributionGroupMember	9/21/2015 9:36:54 AM	9/21/2015 9:36:55 AM	9/21/2015 9:36:55 AM	9/21/2015 9:36:55 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 10:28:45 AM	9/25/2015 10:28:45 AM	9/25/2015 10:28:45 AM	9/25/2015 10:28:45 AM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 10:28:45 AM	9/25/2015 10:28:45 AM	9/25/2015 10:28:45 AM	9/25/2015 10:28:45 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 10:28:56 AM	9/25/2015 10:28:56 AM	9/25/2015 10:28:56 AM	9/25/2015 10:28:56 AM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 10:28:56 AM	9/25/2015 10:28:56 AM	9/25/2015 10:28:56 AM	9/25/2015 10:28:56 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 10:29:20 AM	9/25/2015 10:29:20 AM	9/25/2015 10:29:20 AM	9/25/2015 10:29:20 AM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 10:29:20 AM	9/25/2015 10:29:20 AM	9/25/2015 10:29:20 AM	9/25/2015 10:29:20 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 10:29:30 AM	9/25/2015 10:29:30 AM	9/25/2015 10:29:30 AM	9/25/2015 10:29:30 AM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 10:29:31 AM	9/25/2015 10:29:31 AM	9/25/2015 10:29:31 AM	9/25/2015 10:29:31 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 10:36:07 AM	9/25/2015 10:36:07 AM	9/25/2015 10:36:07 AM	9/25/2015 10:36:07 AM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 10:36:07 AM	9/25/2015 10:36:07 AM	9/25/2015 10:36:07 AM	9/25/2015 10:36:07 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 10:36:20 AM	9/25/2015 10:36:20 AM	9/25/2015 10:36:20 AM	9/25/2015 10:36:20 AM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 10:36:20 AM	9/25/2015 10:36:20 AM	9/25/2015 10:36:20 AM	9/25/2015 10:36:20 AM
clinton.local/Users/Platte Admin : Remove-MailboxPermission	9/25/2015 4:39:54 PM	9/25/2015 4:39:54 PM	9/25/2015 4:39:54 PM	9/25/2015 4:39:54 PM
clinton.local/Users/Platte Admin : Add-MailboxPermission	9/25/2015 4:39:55 PM	9/25/2015 4:39:55 PM	9/25/2015 4:39:55 PM	9/25/2015 4:39:55 PM

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FD-340 (Rev. 4-11-03)

File Number

CART
-302

Field Office Acquiring Evidence

WFO

Serial # of Originating Document

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Date Received

WFO

From

ITS/FE

(Name of Contributor/Interviewee)

FBI OTD faw

(Address)

Quantico, VA

(City and State)

By

ITS/FE

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Federal Taxpayer Information (FTI)

Yes No

Title: MID-YEAR EXAM;
MISHANDLING OF CLASSIFIED,
UNKNOWN SUBJECT OR COUNTRY;
SENSITIVE INVESTIGATIVE MATTER (SIM)

Reference:

(Communication Enclosing Material)

Description:

Original notes re interview or Copy of

Copy of email containing password for 1B26

1A3

b3
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b7C
b7E

b6
b7C

b6
b7C

b6
b7C

[redacted] (WF) (FBI)

b6
b7C

From: [redacted] (OTD)(FBI)
Sent: Thursday, October 22, 2015 1:13 PM
To: [redacted] (WF) (FBI)
Cc: [redacted] (OTD)(FBI)
Subject: Password for QHQ4 --- UNCLASSIFIED

Non-Record: NON-RECORD

Classification: UNCLASSIFIED

=====

[redacted]
I was able to contact Richard Pugliese from Department of State to get the correct password to decrypt the QHQ4 thumb drive. The correct password to access the device was

[redacted] b7E per DOS

The difference being [redacted]

[redacted]

=====

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b7C

Classification: UNCLASSIFIED

1A7

FD-340 (Rev. 4-11-03)

File Number -CART

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b7E

Field Office Acquiring Evidence WFO

Serial # of Originating Document 7

Date Received 10/6/2015

From GENE T. DRIGGERS, Director, Computer Forensics
(Name of Contributor/Interviewee) Branch

U.S. Department of State
(Address)

(City and State)

By ITS JFE

b6
b7C

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

Yes No

Federal Taxpayer Information (FTI)
 Yes No

Title: MIDYEAR EXAM;
MISHANDLING OF CLASSIFIED;
UNKNOWN SUBJECT OR COUNTRY;
SENSITIVE INVESTIGATIVE MATTER (SIM)

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview

b6
b7C

① Copy of email from Gene Driggers - 10/6/2015
② CD containing copy of attachment from
Gene Driggers

[redacted] (WF) (FBI)

b6
b7C

From: Driggers, Gene J (OIG) [driggersg@state.gov]
Sent: Tuesday, October 06, 2015 1:28 PM
To: [redacted] (WF) (FBI)
Subject: File Hashes
Attachments: File Hash.rtf

Hello [redacted]

b6
b7C

As discussed, attached is the file hash information. Many of the files are duplicates due to email forwarding and such, but they were still responsive so all were included.

Please let me know if you have any questions.

Gene

Gene J Driggers
Director
Computer Forensics Branch
U.S. Department of State
Office of Inspector General
Office of Investigations

Desk (703) 312-3856

Cell [redacted]

driggersg@state.gov

b6 per DOS

~~SBU~~

This email is UNCLASSIFIED.

9/9/15
Serial 6

b6
b7c

HRC-5438

To: [REDACTED]
Subject: RE: Supplemental Preservation and Production Request

b3 Per
b6 CIA

Hi [REDACTED]

Per our telephone conversation this morning, the supplemental preservation and production request dated September 3, 2015 does not supersede the original request dated August 21, 2015.

We still request that you attempt to meet the original preservation and production request. However, we acknowledge that the original request is very extensive and may create some technical difficulties or prove infeasible. As such, the supplemental request is being provided to help focus your initial efforts by identifying specific @ucia.gov email addresses that we believe are relevant to this matter.

It is possible that there are other yet to be identified @ucia.gov email addresses that are relevant to this matter, so we do not want to limit the request to only the emails listed in the supplemental request in case your search identifies other relevant email addresses.

Thank you,
[REDACTED]

b6
b7C

From: [REDACTED]
Sent: Thursday, September 03, 2015 3:10 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: Supplemental Preservation and Production Request

b3 Per
b6 CIA

b6
b7C

Does this supersede the prior request, or are you asking us to search these specific addresses in addition to doing a general domain-name search?

b6
b7C

Thanks,
[REDACTED]

b3 Per
b6 CIA

From: [REDACTED] [mailto: [REDACTED]]
Sent: Thursday, September 03, 2015 11:42 AM
To: [REDACTED]
Subject: Supplemental Preservation and Production Request

b6
b7C

Ms. [REDACTED]

b3 Per
b6 CIA

Per our discussion this morning, please find the supplemental preservation and production request attached.

If you have any questions regarding this request please contact Special Agent [REDACTED]

b6
b7C

Thank you,

[redacted]
Washington Field Office

Tel - [redacted]

b6
b7C

9/6/15
Serial 10

b6
b7C

HRC-5447

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 09/11/2015

On September 10, 2015, Michael Williams, of the U.S. Nuclear Regulatory Commission (NRC) provided an electronic mail response to the preservation and production request sent to the NRC on September 1, 2015.

Williams provided that the NRC conducted six discreet searches of NRC systems for material responsive to the request and found zero messages to, or from, the specified email domain.

Enclosed in a 1A is the email response from Michael Williams, which further details the searches conducted by the NRC.

Investigation on 09/11/2015 at Washington, DC

File # [redacted] - PRESPRO - 10 Date dictated N/A

By SA [redacted] [redacted]

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5448

9/30/15
Serial 16

b6
b7c

HRC-5460

Preservation & Production Response from NRC

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-06-2016 BY J76318T80 NSIDC

[redacted] (WF) (FBI)

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b7C

From: Williams, Michael [Michael.Williams@nrc.gov]
Sent: Thursday September 10, 2015 4:40 PM
To: [redacted] (WF) (FBI) [redacted] (WF) (FBI)
Cc: Offutt, David; Chew, Elizabeth; Gareri, Mario; Paradiso, Karen; Webber, Robert
Subject: Email Domain Audit Request

Good afternoon [redacted]

b6
b7C

As discussed with [redacted] on the telephone this afternoon, we found zero email messages to/from the provided email domain.

Here is the detailed search activities and summary information.

Search #1: - Completed

b7E Per NRC

Result:

No matches.

Search #2: - Completed

b7E Per NRC

Result:

No matches.

Search #3: - Completed

b7E Per NRC

Result:

No matches.

Search #4: - Completed

b7E Per NRC

Result:

The search resulted in two matches. Both matches were related to external FOIA requests.

- Item #1: Publicly available document -
<https://adamswebsearch2.nrc.gov/webSearch2/main.jsp?AccessionNumber=ML15167A092>
 - Document: SECY-15-0084: Weekly Information Report - Week Ending June 12, 2015.
 - Accession Number: ML15167A092
 - Document Class: Official Record
 - Availability: Yes (Public)
- Item #2: Private (internal-only) document
 - Document: FOIA/PA 2015-0317 (RE: FREEDOM OF INFORMATION ACT REQUEST)
 - Accession Number: ML15159A527
 - Document Class: Record
 - Availability: No

Search #5: - Completed

b7E Per NRC

Result:

The search resulted in one match which was the same FOIA related document as provided in Search #5 Item#2 above.

Search #6: - Completed

b7E Per NRC

Result:

No matches.

Thank you,
Michael Williams

10/07/15
Serial 21

b6
b7c

HRC-5472

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/06/2015

On October 6, 2015, SA [redacted] emailed a request for the production of personnel files to GREGORY STARR, Assistant Secretary, Bureau of Diplomatic Security, U.S. Department of State, 2201 C Street, NW, Washington D.C. 20520. The email was accepted by [redacted] Staff Assistant, [redacted] telephone [redacted]

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Thereafter a coordination meeting was held between the U.S. Department of State (DOS) and the Federal Bureau of Investigation (FBI) to discuss the processing of the prior FBI preservation and production requests. Present at the meeting were:

• Peter Strzok	FBI ASAC WF
• Steven Taylor	DOS CIO
• Glen Johnson	DOS DCIC
• Kevin Gleeson	DOS L/M/DS
• Rich Visek	DOS L
• Sarah Prosser	DOS L/M
• Catherine Brown	DOS/INR
• Greg Starr	DOS/DS
• John Giacalone	FBI EAD
• Randall Coleman	FBI AD
• [redacted]	FBI SA WFO
• [redacted]	FBI SSA WFO
• [redacted]	DS
• Kathleen Ferguson	DOS/M
• Charles Kable	FBI SC
• [redacted]	FBI OGC
• Jonathan Moffa	FBI A/SC

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b6 Per
b7C DOS

b6
b7C

A copy of the request and attendee list is enclosed in a 1A.

Investigation on 10/06/2015 at Washington, DC

File # [redacted] PRESPRO - 2 Date dictated N/A

By SA [redacted]

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5473

10/26/15
Serial 24

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b7c

HRC-5478

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/14/2015

On October 13, 2015, representatives from the Federal Bureau of Investigation (FBI) Washington Field Office met with the Bureau of Diplomatic Security (DS), U.S. Department of State, 1801 N. Lynn Street, Arlington, VA, to discuss the captioned matter. Present at the meeting were:

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b7c

b6 Per
b7C DOS

The objective of the meeting was to establish formal contacts points between the FBI and DS to facilitate the transfer of pertinent information and to coordinate access to relevant files and personnel at the Department of State.

Investigation on 10/13/2015 at Washington, DC

File # PRESPRO - 24 Date dictated N/A

By SA

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

10/26/05
Serial 26
PRESPRO

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HRC-5483

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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U//~~FOUO~~-15-0524/GC

October 15, 2015

VIA EMAIL

Mr. Peter P. Strzok
Assistant Special Agent in Charge
Washington Field Office
U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001

Re: (U//~~FOUO~~) DIA response to Preservation of Records; Request for Records

Dear Mr. Strzok:

(U//~~FOUO~~) The Agency previously received an August 21, 2015 "Preservation of Records; Request for Records" memorandum from you asking for a search to be conducted for any and all records associated with the @clintonemail.com email accounts that may be contained on our systems. The Agency then received a September 3, 2015 follow-on memorandum request for any and all records associated with the @clintonemail.com account and two Agency accounts [REDACTED]

b3 Per DIA

(U//~~FOUO~~) The Agency conducted a search of its three email servers (JWICS, SIPR, and NIPR) for any Agency records relating to either of the two requests. The first search located 87 emails that in any way referenced the @clintonemail.com account. Those emails were comprised of media information, or links to media stories, regarding the email account. When the second search was conducted to find any records relating to the two Agency email accounts and the @clintonemail.com account, no records were located.

(U) Please contact me at [REDACTED] if you have any questions.

b3 Per DIA

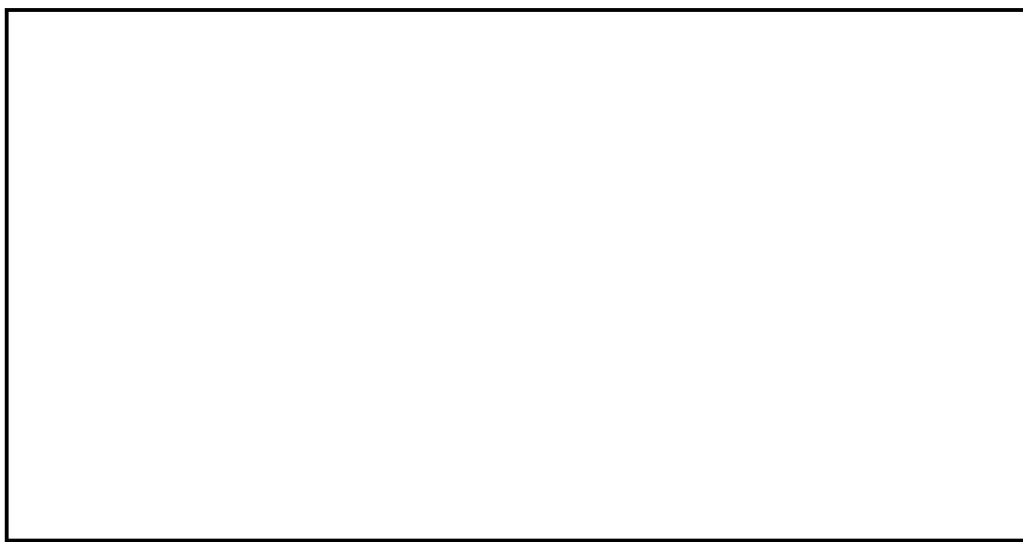
Very respectfully,

Assistant General Counsel
Defense Intelligence Agency
7400 Pentagon
Attn: OGC - Litigation
Washington, D.C. 20301-7400

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11/13/15
Serial 29

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b6 Per FBI, DOS
b7C Per FBI, DOS
b7E Per FBI

HRC-5489

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/05/2015

b6 Per FBI, DOS
b7C Per FBI, DOS

On October 29, 2015, SA [redacted] and SA [redacted] received the following production items from [redacted] Diplomatic Security (DS), U.S. Department of State in response to an FBI Preservation and Production Request dated October 6, 2015:

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Investigation on 10/29/2015 at Arlington, VA

File # [redacted] PRESPRO - 29 Date dictated N/A

By SA
SA [redacted]

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b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Continuation of FD-302 of DoS Production, On 10/29/2015, Page 2

On November 5, 2015, SA [redacted] received an email from [redacted] in response to the aforementioned FBI Preservation and Production Request. The email contained an attachment of a signed response from [redacted] certifying personal records were not found related to @clintonemail.com for the specified time period.

b6 Per FBI, DOS
b7C Per FBI, DOS

The items described above are maintained in separate 1As.

11/16/15
Social 32

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HRC-5496



United States Department of State

Washington, D.C. 20520

SENSITIVE BUT UNCLASSIFIED

November 13, 2015

MEMORANDUM FOR ASSISTANT SECRETARY GREG STARR

FROM: INR – Catherine W. Brown, Deputy Assistant Secretary
SUBJECT: FBI Requests Relating to the HRC Email Issues

I am writing in response to your communications to me asking that INR take appropriate action regarding the FBI requests of July 29, August 5, 11, and 18, September 22, and October 6, 2015. I have reviewed the requests and consulted with INR's information systems staff, which did a number of searches at my request. INR has found no records responsive to the FBI's requests. Specifically:

- The July 29, 2015 request for the preservation of records, as we understand it, did not pertain to or require any action with respect to TS/SCI accounts, if any, held by the persons listed therein. We accordingly took no action with respect to this request.
- The August 5, 2015, FBI request sought the preservation of all records relating to Bryan Pagliano's state.gov email account. Although it did not request any TS/SCI account, we have confirmed that Pagliano never had such an account.
- The August 11 and October 6, 2015, requests were for personnel records INR would not have, and did not pertain to any TS/SCI accounts.
- The August 18, 2015, request asked for preservation of the email accounts of 912 current and former State Department employees, including any TS/SCI accounts. We reviewed the list against our records of INRISS and e-Intel accounts and asked Diplomatic Security also to review the list for IRIS

HRC-5497

b3
b7E

accounts. We found that 134 of the 912 employees listed had TS/SCI accounts. Pursuant to Intelligence Community guidance INR has a policy to retain email communications on the TS/SCI system for twenty-five years; we therefore have not taken any special record preservation measures relating to the accounts of these 134 persons.

- Finally, the September 22, 2015, FBI request asked for the export of any TS/SCI as well as other email accounts over specified date ranges of seven listed individuals [REDACTED]

[REDACTED] Of these we found that only [REDACTED] had TS/SCI (in this case, e-Intel) accounts during the specified period. The email server housing individual e-Intel mailboxes was decommissioned sometime around May, 2014 and e-Intel users were migrated to another server. Our IT staff advises that the migration process did not include [REDACTED] indicating that their accounts were inactive at the time of the migration. Our IT staff found PST files associated with [REDACTED] but these files contained no data. Accordingly we have no TS/SCI material to provide the FBI at this time.

b6 Per
b7C DOS

~~SENSITIVE BUT UNCLASSIFIED~~

Drafted:
INR: Catherine Brown

Clear:
INR: Kathy Fitzpatrick (info)
INR/EX: Leona or Susan—ok
INR/EX/IS: Juan Conde-ok
INR/EX/IS: Chris Ritchie-ok
INR/EX/IS: Gerald Mundy-ok

~~SENSITIVE BUT UNCLASSIFIED~~

11/23/15
Serial 34

b6
b7c

HRC-5502

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/17/2015

b6 Per FBI, DOS
b7C Per FBI, DOS

On November 17, 2015, SA [redacted] received a Department of State (DoS) production response via email from [redacted] Diplomatic Security (DS), [redacted]@state.gov. [redacted] emailed SA [redacted] an unclassified memo from Dana Shell Smith, dated 11/17/2015, who certified that upon completing a search for information related to @clintonemail.com, no records were found responsive to the FBI's production request.

A copy of Smith's signed memo is attached in a 1A.

Investigation on 11/17/2015 at Washington, DC

File # [redacted] PRESPRO - 34 Date dictated N/A

By SA [redacted]

b3
b7E

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5503

11/20/15
Serial 35

b6
b7C

HRC-5504

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/25/2015

b6 Per FBI, DOS
b7C Per FBI, DOS

On November 19, 2015, and again on November 25, 2015 SA [redacted] received Department of State (DoS) production responses via email from [redacted] Diplomatic Security (DS) [redacted]@state.gov. On November 19, 2015, [redacted] emailed SA [redacted] an unclassified memo from [redacted] dated 11/18/2015, who certified that upon completing a search for information related to @clintonemail.com, no records were found responsive to the FBI's production request. On November 25, 2015, [redacted] emailed SA [redacted] an unclassified memo from [redacted] dated 11/20/2015, who certified that upon completing a search for information related to @clintonemail.com, no records were found responsive to the FBI's production request.

A copy of [redacted] signed memos are attached in a 1A.

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b7E

Investigation on 11/25/2015 at Washington, DC

File # [redacted] PRESPRO - 35 Date dictated N/A

By SA [redacted] [redacted]

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5505

12815
Serial 40

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b7c

HRC-5514

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/08/2015

On November 17, 2015, SA [redacted] received a Department of State (DoS) production response via email from [redacted] FBI Liaison to Diplomatic Security (DS) [redacted] emailed SA [redacted] an unclassified document titled "Classified Information Nondisclosure Agreement" signed by Cheryl D. Mills on 1/29/2009.

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b7C

A copy of the Nondisclosure Agreement and email sent by [redacted] are maintained in a 1A.

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b7C

Investigation on 11/17/2015 at Washington, DC

File # [redacted] PRESPRO -40 Date dictated N/A

By SA [redacted]

b3
b7E
b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5515

12/17/15
Serial 482

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b7C

HRC-5519



United States Department of State

*Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520*

December 8, 2015

~~SENSITIVE BUT UNCLASSIFIED~~

Charles H. Kable IV
Section Chief – Counterintelligence Division
U.S. Department of Justice
Federal Bureau of Investigation
Washington, DC 20535-0001

Dear Mr. Kable:

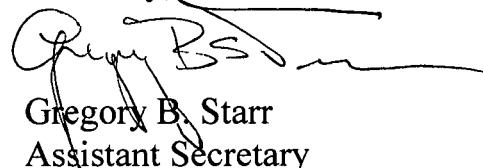
I am writing to follow up on the discussions between the Department of State and the FBI regarding former Secretary Clinton's emails.

During the course of our FOIA review and the subsequent quality control check of other materials that were pertinent, we have identified three email/document sets to bring to your attention as they may have relevance for your investigation.

In the case of the first two document sets, the call sheets were classified in preparation for a telephone call with a Foreign Minister. Such call sheets may be declassified at the conclusion of the call. (Tab 1)

For your awareness in the third document set, DIF stands for Deny in Full and means that we have not yet released the document. (Tab 2)

Sincerely,


Gregory B. Starr
Assistant Secretary
Bureau of Diplomatic Security

HRC-5520

b3
b7E

12/23/15
Serial 45

b6
b7c

HRC-5525



United States Department of State

*Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520*

UNCLASSIFIED

December 17, 2015

TO: S/ES – Joseph E. Macmanus
INR – Daniel B. Smith
IRM – Steven C. Taylor

FROM: DS – Gregory B. Starr *[Signature]*

SUBJECT: Federal Bureau of Investigation Request for Production of Records

The Department of State is in receipt of another letter from the Federal Bureau of Investigation (FBI) dated December 15, 2015, attached, seeking the production of certain email records. Specifically, the FBI is requesting the production of all OpenNet, ClassNet, and JWICS email messages sent to or from one named individual for the time period January 21, 2009 to February 1, 2013 which are relevant to the setup, operation, or maintenance of former Secretary Clinton's private email server.

S/ES, INR, and IRM are hereby requested to search for and provide to DS an export of all OpenNet, ClassNet, and JWICS mailboxes and personal storage folders (Outlook PST files, personal network drives, or otherwise) from the accounts of the named individual for the specified time period. For each such item provided to DS, please identify the relevant user's full name as well as the system from which the item originated. In addition, if emails, mailboxes, or personal storage folders cannot be produced for any of the individuals due to technical or other means, please provide the relevant details to DS.

Should any relevant materials be found, please contact Diplomatic Security Front Office Executive Assistant [REDACTED] @state.gov, [REDACTED] Please provide all records to DS by January 6, 2016.

b6 Per
b7C DOS

Attachment:

As stated.

Approved: DS/FO -

b6 Per
b7C DOS

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (ok)
L - MLovejoy (ok)

**U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001**



December 15, 2015

Gregory B. Starr
Assistant Secretary, Bureau of Diplomatic Security
U.S. Department of State
2201 C Street, NW
Washington, D.C. 20520

Re: Request for the Production of OpenNet, ClassNet and Email Records for
[redacted]

b6
b7C

Dear Assistant Secretary Starr:

This request supplements previous requests sent to the U.S. Department of State relative to the preservation and production of records associated with @clintonemail.com.

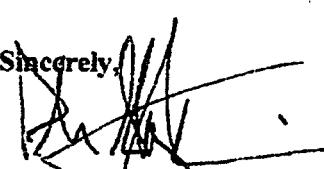
In furtherance of our examination of this matter, the FBI respectfully requests the production of all OpenNet, ClassNet and JWICS email messages sent to, or from, [redacted] [redacted] for the period of January 21, 2009 to February 1, 2013, which are relevant to the setup, operation or maintenance of former Secretary Clinton's private email server. These records should be provided to the FBI within thirty (30) days from the receipt of this request.

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You are requested not to disclose the existence of this request to individuals other than to those necessary to comply with this request, as the FBI's interest in this matter may otherwise be jeopardized. If you have any questions regarding this request, please direct all inquiries to Special Agent [redacted] who can be reached at [redacted]

b6
b7C

Sincerely,


Peter P. Strzok
Assistant Special Agent in Charge
Washington Field Office



United States Department of State
Washington, D.C. 20520

UNCLASSIFIED

December 21, 2015

TO: DS – Gregory B. Starr

FROM: INR – Daniel B. Smith *DBS*

SUBJECT: Federal Bureau of Investigation Request for Production of Records

In response to your request dated December 14, 2015 regarding email messages sent to or from the named individuals in your Tab 1 (December 4, 2015 FBI letter) and Tab 2 (December 11, 2015 FBI letters), INR has found no eIntel (JWICS) accounts belonging to any of the named individuals during the specific time periods mentioned and therefore has no record of any email messages sent or received by these individuals on JWICS.

In response to your request dated December 17, 2015 regarding email messages sent to or from the named individual in a letter from the FBI dated December 15, 2015, INR found an eIntel (JWICS) account belonging to the named individual during the specific time period mentioned. There are emails associated with the account. We will contact DS Front Office Executive Assistant [redacted]
[redacted] with the relevant information.

b6 Per
b7C DOS

Drafted: INR/FO - Kristin Kirkhoff

Cleared: INR/EX/IS - Juan Conde OK
INR/EX - Leona Coulombe OK
INR/FO - Catherine Brown OK
INR/FO - Kathleen Fitzpatrick OK

~~SENSITIVE BUT UNCLASSIFIED~~

12/23/15
Serial 46

b6
b7c

HRC-5531



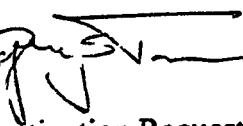
United States Department of State

Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520

UNCLASSIFIED

December 14, 2015

TO: S/ES – Joseph E. Macmanus
INR – Daniel B. Smith
IRM – Steven C. Taylor

FROM: DS – Gregory B. Starr 

SUBJECT: Federal Bureau of Investigation Request for Production of Records

The Department of State is in receipt of two letters from the Federal Bureau of Investigation (FBI) dated December 4, 2015 (Tab 1) and December 11, 2015 (Tab 2) seeking the production of certain email records. Specifically, the FBI is requesting the production of all ClassNet and JWICS email messages sent to or from the five named individuals in Tab 1 for the time period January 21, 2009 to February 1, 2013, and the preservation of all OpenNet, ClassNet, and JWICS email messages sent to or from the individual named in Tab 2 from January 21, 2009 to February 1, 2013. Finally, the FBI requests copies of all OpenNet, ClassNet, and JWICS email messages sent to or from the individual in Tab 2 for the period of September 21, 2009 to October 19, 2009.

S/ES, INR, and IRM are hereby requested to search for and provide to DS an export of all ClassNet and JWICS mailboxes and personal storage folders (Outlook PST files, personal network drives, or otherwise) from the accounts of the individuals for the specified time period. For each such item provided to DS, please identify the relevant user's full name as well as the system from which the item originated. In addition, pursuant to the FBI's request, if emails, mailboxes, or personal storage folders cannot be produced for any of the individuals due to technical or other means, please provide the relevant details to DS.

Should any relevant materials be found, please contact Diplomatic Security
Front Office Executive Assistant @state.gov,
Please provide all records to DS by December 24, 2015 2015.

b6 Per
b7C DOS

Attachments:

Tab 1 – December 4, 2015 Letter
Tab 2 – December 11, 2015 Letter

HRC-5532

 PRESPRO - 16

b3
b7E

Approved: DS/FO -

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (ok)
L - KGleeson (ok)

b6 Per
b7C DOS

**U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001**



December 4, 2015

Gregory B. Starr
Assistant Secretary, Bureau of Diplomatic Security
U.S. Department of State
2201 C Street, NW
Washington, D.C. 20520

Re: Request for Production of ClassNet and JWICS Email Records

Dear Assistant Secretary Starr:

This request supplements previous request sent to the U.S. Department of State (DoS) relative to the preservation and production of records associated with @clintonemail.com.

In furtherance of our examination of this matter, the FBI respectfully requests the production of all ClassNet and JWICS email messages sent to, or from, the following individuals for the period of January 21, 2009 to February 1, 2013:



In the event that any of the above referenced individuals did not have a ClassNet or JWICS email account during the referenced time period, or that such records cannot be produced for technical or other means, it is requested that your response details such. It is further requested that these records be provided to the FBI within thirty (30) days from the receipt of this request.

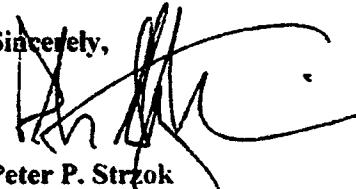
You are requested not to disclose the existence of this request to individuals other than to those necessary to comply with this request, as the FBI's interest in this matter may otherwise be jeopardized.

b6
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b7E

If you have any questions regarding this request, please direct all inquiries to Special
Agent [redacted] who can be reached at [redacted]

b6
b7C

Sincerely,


Peter P. Strzok
Assistant Special Agent in Charge
Washington Field Office

**U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001**



December 11, 2015

Gregory B. Starr
Assistant Secretary, Bureau of Diplomatic Security
U.S. Department of State
2201 C Street, NW
Washington, D.C. 20520

Re: Request for the Preservation and Production of OpenNet, ClassNet and JWICS Email Records for [redacted]

b6
b7C

Dear [redacted]

This request supplements previous requests sent to the U.S. Department of State (DoS) relative to the preservation and production of records associated with @clintonemail.com.

In furtherance of our examination of this matter, the FBI respectfully requests the preservation of all OpenNet, ClassNet and JWICS email messages sent to, or from [redacted] for the period of January 21, 2009 to February 1, 2013.

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It is further requested that all OpenNet, ClassNet and JWICS email messages sent to, or from [redacted] for the period of September 21, 2009 to October 19, 2009 be provided to the FBI within thirty (30) days from the receipt of this request.

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b7C

You are requested not to disclose the existence of this request to individuals other than to those necessary to comply with this request, as the FBI's interest in this matter may otherwise be jeopardized. If you have any questions regarding this request, please direct all inquiries to Special Agent [redacted] who can be reached at [redacted]

b6
b7C

[Handwritten signature of Peter P. Strzok]
Sincerely,

Peter P. Strzok
Assistant Special Agent in Charge
Washington Field Office

1/2/66
Serial 487

b6
b7c

HRC-5537

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/04/2016

b6 Per FBI, DOS
b7C Per FBI, DOS

On January 4, 2016, SA [redacted] received a production response via unclassified email from [redacted] Diplomatic Security (DS), U.S. Department of State (DoS).in response to FBI Preservation and Production requests dated December 4, 11 and 15, 2015.

The provided items included copies of each of the three aforementioned FBI requests, two DoS memorandums (dated December 14 and 17, 2015) from Gregory B. Star to S/ES Joseph E. Macmanus, INR Daniel B. Smith, and IRM Steven C. Taylor, which further articulated the FBI's request, and one memorandum dated December 24, 2015 from IRM/OPS Jerry Horton. Horton's response indicated IRM conducted searches in accord with the instructions provided in the aforementioned requests. IRM further indicated they executed a consolidated search which yielded information that OpenNet and ClassNet mailboxes exist on S Bureau Servers for CHERYL MILLS and [redacted]. However, IRM [redacted] b6 Per b7C DOS further indicated they did not have access to the mailboxes because S/ES information technology staff manages the S Bureau servers. IRM further indicated they did not discover data pertaining to the following:

[redacted]

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b7C

Investigation on 1/5/2016 at Washington, DC

File # [redacted] PRESPRO - 47 Date dictated N/A

By SA [redacted]

b3
b7E

b6
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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

112/12
Serial 88

b6
b7c

HRC-5539

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/08/2016

b6 Per FBI, DOS
b7C Per FBI, DOS

On January 7, 2016, SA [redacted] received a production response from FBI Liaison to Diplomatic Security (DS) [redacted] on behalf of [redacted] DS, U.S. Department of State (DoS).

In response to the FBI Preservation and Production request dated December 4, 2015, DoS provided a thumb drive containing the relevant "ClassNet High Side Mail Files and Personal Folder Files" for JAKE SULLIVAN, CHERYL MILLS, and HUMA ABEDIN, for the period of January 21, 2009 to February 1, 2013. DoS also provided a second thumb drive containing the relevant "OpenNet SBU Mail Files and Personal Folder Files" for the same individuals. DoS noted that HILLARY CLINTON did not have a ClassNet mailbox and that [redacted] had no accounts with S/ES-IRM.

b6
b7C

In response to the FBI Preservation and Production requests dated December 15, 2015, DoS documented that they conducted a search of the OpenNet and ClassNet email accounts of the above referenced individuals, for communications to, or from, [redacted] and permutations thereof, for the date range of September 9, 2009 to October 19, 2009, which yielded zero positive results.

On January 8, 2016, SA [redacted] checked the thumb-drives into evidence at the Washington Field Office - Evidence Control Center and thereafter transported both drives to Quantico - OTD for processing.

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Attached in a 1A is the accompanying memorandum and documentation provided by DoS.

Investigation on 1/7/2016 at Washington, DC

File # [redacted] PRESPRO - 48 Date dictated N/A

By SA [redacted] SA [redacted] [redacted]

b3
b7E

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5540

1/12/16
Serial 51

b6
b7c

HRC-5543

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/12/2016

On January 2, 2016, SA [redacted] received a UPS package from The Office of Professional Management (OPM), 1137 Branchton Road, Boyers, PA 16020-0168. The package contained a copy of an OPM file related to [redacted] DOB [redacted] and was sent in response to a 12/7/2015 request by SA [redacted] for said information.

b6
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A copy of the information provided by OPM is enclosed in a 1A.

Investigation on 1/12/2016 at Washington, DC

File # [redacted] PRESPRO ~ 51 Date dictated N/A

By SA [redacted]

b3
b7E

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5544

1/14/14
Serial 52

b6
b7c

HRC-5545

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/14/2016

On January 13, 2016, representatives from the Federal Bureau of Investigation (FBI) Washington Field Office (WFO) met with the Bureau of Diplomatic Security (DS), at the U.S. Department of State, to discuss the captioned matter. Present at the meeting were:

- [Redacted]
- FBI SSA WFO
- FBI detailee to the DS
- FBI SA WFO
- FBI SA WFO
- DS Executive Assistant
- DS Division Chief for CI
- DS SA

b6
b7c

b6 Per
b7C DOS

The objective of the meeting was to discuss the current status of several pending FBI requests for information relevant to the captioned matter.

Investigation on 1/13/2016 at Washington, DC

By SA

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b7E

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5546

1/17/14
Searched
Searched
Searched

b6
b7c

HRC-5551

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/15/2016

On 1/15/2016, SA [redacted] located 4 CDs associated with [redacted] **PRESPRO**
Serial 29, located in 1A 21 Volumes 7, 8, 9, and 11. Said CDs were referenced in Serial 29 as follows:

b3
b6
b7C
b7E

[redacted] 1 CD labeled Unclassified and titled [redacted] FBI Request memo Oct 6, 2015. b6
Clinton email b7C

Stephen D. Mull - 1 CD labeled Unclassified and titled "Files to Disk"

b6
b7C

[redacted] - 1 CD labeled Unclassified and titled [redacted] Clinton Email PST"

Patrick F. Kennedy – 1 CD labeled Unclassified and titled "U/S P. Kennedy PST."

b6
b7C

The aforementioned CDs were removed from their corresponding 1A envelopes by SA [redacted]
[redacted] on 1/15/2016 and submitted to Evidence for further analytical processing/review.

Investigation on 1/15/2016 at Washington, DC

File # [redacted] PRESPRO - 55 Date dictated N/A

b3
b7E

By SA [redacted]

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5552

212 1/16
Serial 59

b6
b7c

HRC-5557

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/1/2016

b6
b7C

On January 28, 2016, SA [redacted] electronically sent the Department of State (DoS) and the Central Intelligence Agency (CIA) a request for the production of a [redacted]. The [redacted] was provided to the DoS in October of 2009 prior to a Principals b3 per CIA Committee meeting.

Copies of the requests are maintained in a 1A.

Investigation on 2/1/2016 at Washington, DC

File # [redacted] PRESPRO - 59 Date dictated N/A

By SA [redacted] [redacted]

b3
b7E

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

212716
Serial 60

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b7c

HRC-5559

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/2/2016

On February 1, 2016, representatives from the Federal Bureau of Investigation (FBI) Washington Field Office (WFO) met with the Bureau of Diplomatic Security (DS) and personnel from the U.S. Department of State (Dos), to discuss the captioned matter. Present at the meeting were:

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b6 Per
b7C POS

Investigation on 2/1/2016 at Washington, DC

File # PRESPRO -60 Date dictated N/A

b3
b7E

By SA

b6
b7c

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Continuation of FD-302 of Meeting with DoS, On 02/1/2016, Page 2

The objective of the meeting was to discuss the current status of several pending FBI requests for information relevant to the captioned matter. The original sign-in sheet is attached in a 1A.

2/2/6
Serial 61

b6
b7c

HRC-5562

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/2/2016

b6
b7C

On February 2, 2016, SA [redacted] electronically sent the Executive Office of the President (EOP) a request for the production of a [redacted]. The [redacted] was provided to the National Security Council in October of 2009 prior to a Principals Committee meeting. The request was electronically sent to [redacted] at [redacted]

b3 Per CIA

Copies of the requests are maintained in a 1A.

Investigation on 2/2/2016 at Washington, DC

File # [redacted] PRESPRO - 61 Date dictated N/A

By SA [redacted] [redacted]

b3
b7E

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5563

2/17/66
Serial 63

~~SECRET~~ (U)

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b7C

HRC-5566

~~SECRET~~ (U)

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/17/2016b6 Per FBI, DOS
b7C Per FBI, DOS

(U//~~FOUO~~) On February 17, 2016, SA [REDACTED] received a Department of State (DoS) production response provided by Special Assistant [REDACTED], Office of the Assistant Secretary for Diplomatic Security. The response was in the form of physical Watch Logs for Friday, June 17, 2011.

(U) ~~(S//NODIS)~~ A cursory review of the logs indicates that two facsimiles were sent from the Operations Center to the Secretary of State, in New York, on June 17, 2011. The first was sent at 0800 following a call from the Secretary at 0754 during which she requested the Watch send her a facsimile. The Secretary called again at 0759 during which she noticed that the facsimile had begun coming through to her. The second facsimile was sent at 0900. DoS noted that the Operations Center does not retain copies of specific documents transmitted by facsimile.

(U//~~FOUO~~) The logs are attached in a 1A.

Investigation on 2/17/2016 at Washington, DC

File # [REDACTED] PRESPRO - 63 Date dictated N/A

By SA [REDACTED]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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~~SECRET//**NODIS**~~

HRC-5567

2126116
Serial 67

b6
b7C

HRC-5574

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/24/2016

On February 24, 2016, SA [redacted] electronically sent the Department of State (DoS) an LHM requesting an official determination if Jacob Sullivan or Cheryl Mills were ever an Original Classification Authority (OCA) for DoS. The request was received by [redacted] Special Assistant, Bureau of Diplomatic Security, [redacted]

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b7C

A copy of the LHM is maintained in a 1A.

Investigation on 2/24/2016 at Washington, DC

File # [redacted] PRESPR [redacted] - 67 Date dictated N/A

By SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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HRC-5575

311.6
Serial 58

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b7C

HRC-5576



United States Department of State

Washington, D.C. 20520

SENSITIVE BUT UNCLASSIFIED

February 26, 2016

TO: FBI -

b6
b7C

FROM: DS -

b6 Per
b7C DOS

SUBJECT: (SBU) Federal Bureau of Investigation Inquiry Regarding Facsimile
Numbers

(SBU) On February 1, 2016 the Department of State responded to the letter from the Federal Bureau of Investigation (FBI) dated January 11, 2016, requesting production of any documents transmitted to the Secretary of State on June 17, 2011 via secure and unsecure facsimile. As a follow up to that request, the Department of State provides the following telephone numbers on record for facsimiles associated with Secretary Clinton's New York residence.

NY Secure Fax Numbers:

b6 Per DOS

NY Non-Secure Fax Number:

Attachment:

Tab - FBI Letter dated January 11, 2016

SENSITIVE BUT UNCLASSIFIED

PRESFRC - 68

HRC-5577

b3
b7E

Approved:

DS -



Drafted:

DS -

Cleared:

DS -

b6 Per
b7C DOS

3/2/66 10
Serial 10

b6
b7C

HRC-5581

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/3/2016

b6
b7C

On March 2, 2016, SA [redacted] electronically sent the Department of State (DoS) two LHM's. One LHM requested employment and clearance information for previously identified DoS employees. The second LHM requested a copy of DoS Cable MRN 11STATE65111. The requests were received by [redacted] Special Assistant, Bureau of Diplomatic Security, [redacted]@state.gov, and [redacted] Executive Assistant, Bureau of Diplomatic Security, [redacted]@state.gov, respectively.

b6 Per
b7C DOS

Copies of the LHM's are maintained in an attached 1A.

Investigation on 3/2/2016 at Washington, DC

File # [redacted] PRESPRO - 70 Date dictated N/A

By SA [redacted]

b3
b7E

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5582

3/4/68
Serial 71

b6
b7C

HRC-5583



United States Department of State

*Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520*

UNCLASSIFIED

March 2, 2016

TO: FBI – Peter Strzok

FROM: DS – Gregory B. Starr *PS*

SUBJECT: Federal Bureau of Investigation Request for 11 STATE 65111

The Department of State is in receipt of the letter from the Federal Bureau of Investigation (FBI) dated March 2, 2016 (Tab 1), requesting the Department of State Cable with MRN 11 STATE 65111. The requested cable is attached (Tab 2).

Attachments:

Tab 1 – FBI letter dated March 2, 2016

Tab 2 – 11 STATE 65111

UNCLASSIFIED

b3

HRC-5584 b7E

Approved: DS/FO -

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (info)
L - RVisek (info)
L - KGleeson (info)

b6 Per
b7C DOS

From: svcsmartmfi
Sent: 6/28/2011 6:42:47 PM
To: SMART Core
Subject: Securing Personal E-mail Accounts

UNCLASSIFIED



MRN: 11 STATE 65111
Date/DTG: Jun 28, 2011 / 282223Z JUN 11
From: SECSTATE WASHDC
Action: ALL DIPLOMATIC AND CONSULAR POSTS COLLECTIVEROUTINE
E.O.: 13526
TAGS: APCS, ASEC, AADP, AMGT
Subject: Securing Personal E-mail Accounts

UNCLAS STATE 065111

E.O. 13526: N/A
TAGS: APCS, ASEC, AADP, AMGT
SUBJECT: Securing Personal E-mail Accounts

Reference:

A) 12 FAM 544.3

1. Department of State users are encouraged to check the security settings and change passwords of their home e-mail accounts because of recent targeting of personal e-mail accounts by online adversaries. Security guidelines have been posted on the DS/SI/CS Cyber Security Awareness web page: <https://intranet.ds.state.sbu/DS/SI/CS/Awareness1/Content/Personal%20Email.aspx>.

2. Recently, Google asserted that online adversaries are targeting the personal Gmail accounts of U.S. government employees. Although the company believes it has taken appropriate steps to remediate identified activity, users should exercise caution and follow best practices in order to protect personal e-mail and prevent the compromise of government and personal information. The DS/SI/CS Cyber Security Awareness web site contains guides to help secure the web-based e-mail accounts of users and their families. This information can be accessed at: <https://intranet.ds.state.sbu/DS/SI/CS/Awareness1/Content/Personal%20Email.aspx>.

3. What can you and your family members do?
(a) Follow the personal e-mail guides posted on the Awareness site to change your password, to ensure that messages are not

auto-forwarding to an unintended address, and to verify that other security settings are properly configured.

- (b) Beware of e-mail messages that include links to password reset web pages. These can be easily faked.
- (c) Create strong passwords for all of your online accounts, change them often, and never use the same password for more than one account.
- (d) Avoid conducting official Department business from your personal e-mail accounts.
- (e) Do not reveal your personal e-mail address in your work "Out of Office" message.
- (f) Do not auto-forward Department e-mail to personal e-mail accounts, which is prohibited by Department policy (12 FAM 544.3).

4. Questions regarding cyber security awareness should be addressed to awareness@state.gov
CLINTON

Signature: CLINTON

Drafted By: DS/SI/CS [REDACTED] - 06/23/11 [REDACTED]
Cleared By: DS/DSS:JCULVER, DS/SI [REDACTED] DS/SI/CS [REDACTED] DS/SI/CS [REDACTED]
AF/EX: LANDRE, WHA/EX: JBERTOT, NA-SCA/EX: ATEPLITZ, EUR/EX: JARBIN, EAP/EX:
DCHRISTENSEN, IRM/OPS: STAYLOR, IRM/IA: JSTREUFERT, S/ES-O: NLMACKLIN

Approved By: DS:EBOSWELL
Info:
XMT: AMEMBASSY TRIPOLI
Attachments: metadata.dat

b6 Per
b7C DOS

Action Post:
Dissemination Rule: Archive Copy

UNCLASSIFIED

3/18/16
Serial 73

b6
b7c

HRC-5590

United States Department of State

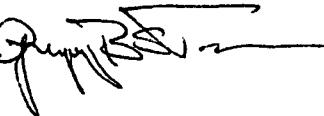


Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520

UNCLASSIFIED

March 4, 2016

TO: FBI – Peter Strzok

FROM: DS – Gregory B. Starr 

SUBJECT: Federal Bureau of Investigation Inquiry Regarding Original Classification Authority

The Department of State is in receipt of the letter from the Federal Bureau of Investigation (FBI) dated February 23, 2016 (Tab 1), inquiring as to whether Jacob Sullivan and Cheryl Mills had Original Classification Authority (OCA) during their employment at the Department of State. According to the attached memorandum dated November 15, 2010, “Top Secret Original Classification Authority Under Executive Order 13526 (Classified National Security Information)” (Tab 2) and the memorandum dated June 6, 2009, “Decreasing the Number of Original Classification Authorities (OCA) under E.O. 12958” (Tab 3), both individuals named in the letter were an OCA for Top Secret (TS) and Secret information based on the positions they held in the Department of State for the duration of their employment with State.

Individuals occupying specified positions in the Department and at posts abroad have the authority to classify information originally as Top Secret, Secret, or Confidential. Mr. Sullivan’s positions as the Director of Policy and Planning (S/P) and Deputy Chief of Staff and Ms. Mills’ positions as Counselor (C), the Secretary’s Chief of Staff (S/COS), and Special Envoy are included in the list of TS OCA positions in Tab 2. OCAs also have authority to declassify information under certain conditions listed in 5 FAM 484.2 Declassification Authority. The Department of State’s classification and declassification processes may be found in 5 FAM 480 (Tab 4).

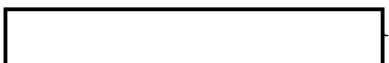
Attachments:

Tab 1 – FBI letter dated February 23, 2016

Tab 2 – “Top Secret Original Classification Authority Under Executive Order 13526 (Classified National Security Information),” November 15, 2010

UNCLASSIFIED

HRC-5591

 PRESPRO - 73

b3
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UNCLASSIFIED

- 2 -

Tab 3 – “Decreasing the Number of Original Classification Authorities (OCA) under E.O. 12958,” June 6, 2009

Tab 4 – 5 FAM 480 Classifying and Declassifying National Security Information – Executive Order 13526

UNCLASSIFIED

HRC-5592

Approved: DS/FO -

b6 Per
b7C DOS

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (ok)
L - RVisek (ok)
A - RBemish (ok)
A - EStein (ok)
L/M/DS - KGleeson (ok)

UNCLASSIFIED//~~FOUO~~



U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001

Date: 23 February 2016

To: Gregory B. Starr
Assistant Secretary
Bureau of Diplomatic Security
DS

From: Peter Strzok
Section Chief
Counterespionage Section

Subject: Requests determination as to whether Jacob Sullivan or Cheryl Mills were an Original Classification Authority while at the Department of State

(U//~~FOUO~~) In July 2015, the FBI received a Section 811 referral from the Inspector General for the Intelligence Community (ICIG) regarding the possible compromise of classified national security information.

(U//~~FOUO~~) The potential compromise was identified when, as part of a Freedom of Information Act (FOIA) request, the U.S. Department of State (DoS) and the ICIG reviewed electronic mail (email) communications from private email accounts previously used by a former Secretary of State during her tenure at DoS. An initial review of this material identified emails containing national security information later determined by the US Intelligence Community (USIC) to be classified up to the Top Secret/Sensitive Compartmented Information level.

(U//~~FOUO~~) Currently, the FBI is seeking to determine if either Jacob Sullivan or Cheryl Mills were an Original Classification Authority (OCA) while employed at state. More specifically, the FBI requests an official response containing the following information:

- An affirmation of whether or not Jacob Sullivan or Cheryl Mills were an OCA while employed at DoS

UNCLASSIFIED//~~FOUO~~

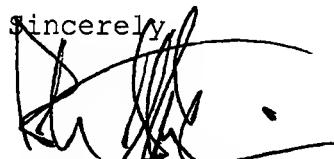
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

UNCLASSIFIED//~~FOUO~~

- If so, the date range during which said individual was an OCA for DoS
- A description of the authorities granted to an OCA at DoS
- A general description of the classification process used by DoS
- A general description of the declassification process used by DoS

(U//~~FOUO~~) Please direct all inquiries regarding this request to
Special Agent [redacted] at [redacted]

b6
b7C

Sincerely,


Peter Strzok
Section Chief
Counterespionage Section

♦♦

UNCLASSIFIED//~~FOUO~~

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5595

ORIG TO IPS
ELECTRONIC DIST:

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IPS/AGG



UNCLASSIFIED

201019229 *11/16/2010*
United States Department of State

Washington, D.C. 20520

November 15, 2010

ACTION MEMO FOR THE SECRETARY

FROM: M - Patrick F. Kennedy *PK*

SUBJECT: Top Secret Original Classification Authority under Executive Order 13526 (Classified National Security Information)

PK
11/16/2010

Recommendation

11/16/2010
6:57 PM

That you approve the delegation of Top Secret Original Classification Authority (OCA) for the attached list of Department positions.

Approve *PK*

Disapprove *PK*

NOV 16 2010

Background

Executive Order 13526 was signed by the President on December 29, 2009, and came into effect on June 27, 2010. It authorizes you to classify national security information, but requires your approval to delegate Top Secret original classification authority to subordinate officials.

In keeping with the requirement under Executive Order 13526 to limit delegations of original classification authority to the minimum required to administer the Order, I recommend that you approve the delegation of Top Secret original classification authority for the attached list of positions.

The list is substantively identical to a list I approved last summer in anticipation of the requirements of the Order. The list implemented a substantial reduction in the number of Top Secret original classification authorities at the Department. The reduction was noted positively by the Information Security Oversight Office at the National Archives in its annual report to the President.

Attachment:

- Tab 1 — Top Secret Original Classification Authorities
- Tab 2 — Executive Order 13526 of December 29, 2009

UNCLASSIFIED

<u>TOP SECRET Original Classification Authorities</u>	<u>Number</u>
1. Secretary of State	1
2. U.S. Representative to the United Nations	1
3. Deputy Secretaries of State	2
4. Under Secretaries of State and Counselor (P, E, T, R, M, G, C)	7
5. Assistant Secretaries of State or Equivalents	59
Bureau of Administration (A)	
Bureau of African Affairs (AF)	
Bureau of Consular Affairs (CA)	
Bureau of Diplomatic Security (DS)	
Bureau of Democracy, Human Rights & Labor (DRL)	
Bureau of East Asian & Pacific Affairs (EAP)	
Bureau of Educational & Cultural Affairs (ECA)	
Bureau of Economic, Energy & Business Affairs (EEB)	
Bureau of European & Eurasian Affairs (EUR)	
Bureau of Human Resources (HR)/ Director General of the Foreign Service	
Bureau of Information Resources Management (IRM)/Chief Information Officer	
Bureau of International Information Programs (IIP)/Coordinator	
Bureau of Intelligence & Research (INR)	
Bureau of International Narcotics & Law Enforcement Affairs (INL)	
Bureau of International Organization Affairs (IO)	
Bureau of International Security & Nonproliferation (ISN)	
Bureau of Legislative Affairs (H)	
Bureau of Near Eastern Affairs (NEA)	
Bureau of Oceans and International Environmental Scientific Affairs (OES)	
Bureau of Political-Military Affairs (PM)	
Bureau of Population, Refugees & Migration (PRM)	
Bureau of Public Affairs (PA)	
Bureau of Resource Management (RM)/Chief Financial Officer	
Bureau of South and Central Asian Affairs (SCA)	
Bureau of Verification, Compliance, and Implementation (VCI)	
Bureau of Western Hemisphere Affairs (WHA)	
Inspector General (IG)	
Legal Adviser (L)	
Director, Office of Civil Rights (OCR)	
Director, National Foreign Affairs Training Institute (FSI)	
Chief of Protocol (S/CPR)	
Medical Director (MED)	
Director, Management Policy, Rightsizing and Innovation (M/PRI)	
Director, Policy Planning (S/P)	
Director, Office of Overseas Buildings Operations (OBO)	
Special Asst. to the Secretary and Executive Secretary of the Department (S/ES)	
Chief of Staff (S/COS)	
Special Envoys & Special Representatives (average 15 at any given time)	
Coordinator for Reconstruction and Stabilization (S/CRS)	
Ambassadors at Large (average 5)	

Deputy Director Foreign Assistance (F)

6. Others	8
Executive Assistant to the Secretary (S) (3)	
Deputy Chief of Staff (S)	
Deputy Legal Advisers (4)	
7. Information Management/Diplomatic Security Positions requiring Top Secret Original Classification (and Declassification) Authority	4
Deputy Assistant Secretary, Global Information Services (A/GIS)	
Director, Office of Information Programs and Services (A/GIS/IPS)	
Director, Diplomatic Security Service (DS/DSS)	
Deputy Assistant Secretary, Countermeasures (DS/C)	
8. Chiefs of Mission and Representatives to International Organizations	180
AF (43 Embassies)	
EAP (23 Embassies)	
EUR (46 Embassies)	
NEA (16 Embassies)	
SCA (11 Embassies)	
WHA (29 Embassies)	
Representatives to International Organizations (12)	
A. U.S. Representative to the Conference on Disarmament	
B. U.S. Representative to the European Union (Brussels)	
C. U.S. Representative to NATO (Brussels)	
D. U.S. Representative to the Organization for Economic Cooperation and Development (OECD, Paris)	
E. U.S. Representative to the International Civil Aviation Organization (ICAO, Montreal)	
F. U.S. Representative to UN Food & Agricultural Agencies (Rome)	
G. U.S. Representative to the UN Educational, Scientific, and Cultural Organization (UNESCO, Paris)	
H. U.S. Representative to International Organizations in Vienna (UNVIE, Vienna)	
I. U.S. Representative to the European Office of the UN and Other International Organizations (Geneva)	
J. U.S. Representative to the Organization for Security and Cooperation in Europe (OSCE, Vienna)	
K. U.S. Representative to the Organization of American States (OAS)	
L. U.S. Representative to the Organization for Prohibition of Chemical Weapons (The Hague)	

TOTAL TOP SECRET OCAs: 262

ORIG ACTMEM TO IPS

ELECTRONIC DIST:

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DIL:

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06/25/2009

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United States Department of State

Washington, D.C. 20520

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ACTION MEMO FOR UNDER SECRETARY KENNEDY – M

FROM: A – Steven Rodriguez, Deputy Assistant Secretary for Operations

SUBJECT: Decreasing the Number of Original Classification Authorities (OCA) under E.O. 12958

Recommendation

That, as Senior Agency Official for E.O. 12958, you delegate original classification authority to a revised and smaller list of Department positions.

Approve

Disapprove

06/22/09

Background

Traditionally, original classification of national security information in the Department was delegated to several position categories in a 1997 memo (Tab 1). Subsequently, in 2005, the Department issued a classification guide, found on ClassNet, which allows all employees with clearances to derivatively classify based on the guide.

In anticipation of the Information Security Oversight Office (ISOO) asking agencies to examine and decrease their OCA delegations, we are voluntarily proposing a new list of OCAs for the Department. According to ISOO's FY08 report, there were 4,106 OCAs within the executive branch; the Department had 2,560 (58%). Therefore, I recommend that OCA delegation be eliminated for most Office Directors and Division Chiefs domestically, and Section Heads abroad. The Department will maintain OCAs at posts and bureaus for the rare occasion something is not covered by the Guide. This restructuring would reduce Department OCAs to approximately 968 (Tab 2). If approved, A/GIS/IPS will draft a Department Notice from M explaining the new delegation as well as a new FAM or FAH section.

Attachments: as stated

SECRET Original Classification Authorities

Deputy Executives

Deputy Executive Secretaries (S/ES)
Deputy Directors, Policy Planning Staff (S/P)
Deputies to Ambassadors at Large
Deputies to Special Envoys, Negotiators, & Representatives
Deputy Coordinator for Reconstruction and Stabilization (S/CRS)

Deputy Assistant Secretaries & Deputies to Category 5

A, AF, CA, DS, DRL, EAP, ECA, EEB, EUR, FSI, HR, IRM, INR, IIP, INL,
IO, ISN, H, MED, M/PRI, NEA, OBO, OCR, OES, OIG, PM, PRM, PA, RM,
SCA, S/CPR, VCI, WHA

Principal Officers at Consulates General and Consulates Abroad

AF (5)
EAP (16)
EUR (28)
NEA (5)
SCA (7)
WHA (19)

Deputy Chiefs of Mission & Deputy Representatives to Int'l Organizations

Other

A/ISS/IPS Senior Reviewers
DS -Regional Security Officers Abroad
DTS-PO, Director Diplomatic Telecommunications Service Program Office
FMP – Directors, Financial Service Centers
L- Assistant Legal Advisers
PM- Director Nuclear Risk Reduction Center

5 FAM 480

CLASSIFYING AND DECLASSIFYING NATIONAL SECURITY INFORMATION— EXECUTIVE ORDER 13526

(CT:IM-171; 12-11-2015)
(Office of Origin: A/GIS/IPS)

5 FAM 481 GENERAL

5 FAM 481.1 POLICY AND PURPOSE

(CT:IM-117; 06-16-2011)

- a. This subchapter sets forth the procedures to be followed in the Department to implement Executive Order 13526, Classified National Security Information ("the Order"). This sub-chapter supplements and expands upon the Department's national security information regulations appearing in Title 22 Code of Federal Regulations Part 9 (see 5 FAM 481.3, below).
- b. E.O. 13526 establishes a uniform system for classifying, marking, safeguarding, and declassifying national security information; i.e., information the unauthorized disclosure of which could reasonably be expected to cause damage to the national defense or foreign relations of the United States. The Order is intended to keep to a minimum the amount of information that is classified and the length of time it remains classified, as well as to facilitate its eventual declassification.
- c. The full text of E.O. 13526, which supersedes all previous Executive Orders on the subject, is on the Department's website, on the unclassified Intranet, and on CLASSNET at <http://a.m.state.class/sites/gis/ips/default.aspx>. The implementing Directive by the Information Security Oversight Office may be found at 32 CFR 2001.

5 FAM 481.2 Scope and Applicability of E.O. 13526

(CT:IM-117; 06-16-2011)

- a. E.O. 13526 applies to the creation, use, handling, and declassification of classified information as well as access to such information. It applies to all classified information, regardless of physical format, and includes photographs, emails, tapes, web pages, and any other items created by Department personnel or contained in the files of the Department. It does not apply to information that is not classified but that may require protection, including

withholding under the Freedom of Information Act or other law, such as information pertaining to law enforcement, information protected by attorney-client or attorney-work product privilege, or privacy, confidential commercial, or sensitive deliberative information. Such information, though it is not classified national security information, may bear administrative designations such as Sensitive But Unclassified (SBU), Personally Identifiable Information (PII), Critical Infrastructure Information (CII), or other labels indicating that it requires protection from public disclosure.

b. **Restricted Data and Formerly Restricted Data:** E.O. 13526 does not supersede the Atomic Energy Act of 1954, as amended, and "Restricted Data" and "Formerly Restricted Data" must be handled, protected, classified, downgraded, and declassified in conformity with the provisions of the Atomic Energy Act of 1954, as amended, and regulations issued under that Act. Restricted Data (RD) concerns the design, manufacture, or utilization of atomic weapons; the production of special nuclear material, e.g., enriched uranium or plutonium; or the use of special nuclear material in the production of energy. Formerly Restricted Data (FRD) is related primarily to the military utilization of atomic weapons. The Departments of Energy and Defense oversee the Federal government's programs concerning RD and FRD.

5 FAM 481.3 Implementation and Review

(CT:IM-117; 06-16-2011)

a. E.O. 13526 requires agencies to designate a Senior Agency Official who will be responsible for implementation of the Order, including implementing instructions and education and training. In the Department, the Secretary has designated the Under Secretary for Management as the Senior Agency Official.

b. The responsibility for implementation of the Order is shared by the Bureau of Administration (A) and the Bureau of Diplomatic Security (DS). The DS bureau is responsible for all aspects of the protection and safeguarding of classified information and of special access programs under the purview of the Secretary of State ("Department special access programs"). DS also sets policy for captions that may go after the TS, S, or C marking (i.e., ORCON, RELTO, and NOFORN distribution restrictions). The A bureau, specifically A/GIS/IPS, is responsible for other aspects of implementation of E.O. 13526, including the classification, declassification, and marking of information classified under the Order as well as training and guidance in classification and declassification.

c. E.O. 13526 provides that the Director of the Information Security Oversight Office (ISOO), under the direction of the Archivist of the United States and in consultation with the Assistant to the President for National Security Affairs, shall issue directives necessary to implement the Order. The ISOO Director has issued a directive (32 CFR 2001) that sets forth in some detail procedures for implementing various provisions of E.O. 13526. This subchapter (5 FAM 480) reflects many of the requirements of the ISOO directive and of the Department's national security information regulations appearing in Part 9 of Title 22 of the Code of Federal Regulations (22 CFR 9). 22 CFR 9 sets forth the Department's

regulations regarding classification and declassification of national security information, including derivative classification and classification challenges.

Code of Federal Regulations provisions pertaining to certain information access aspects of E.O. 13526, such as systematic and mandatory declassification review, may be found in 22 CFR 171. A link to 22 CFR may be found at the FOIA website in the Electronic Reading Room under Department of State Regulations.

- d. E.O. 13526 establishes an Interagency Security Classification Appeals Panel (ISCAP) which is charged with the responsibility for ruling on:
 - (1) Classification challenge appeals;
 - (2) Agency requests for exemption from automatic declassification; and
 - (3) Mandatory declassification appeals by individuals. The Office of Information Programs and Services (A/GIS/IPS) represents the Department on the ISCAP.
- e. Each Department employee and contractor is responsible for knowing and following the requirements of E.O. 13526 and the related provisions of 5 FAH-6 H-600 (Managing Classified Information) which is classified, 12 FAM 500 (Information Security), as well as this subchapter.

5 FAM 481.4 Special Access Programs

(CT:IM-117; 06-16-2011)

As required by Section 4.3(b)(4) of E.O. 13526, the officials responsible for any Department special access programs (SAPs) will annually submit to the Secretary or a Deputy Secretary an explanation for the existence of and justification for the continued operation of those SAPs so that the Secretary or a Deputy Secretary may determine whether those programs continue to meet the requirements of the Order.

5 FAM 482 CLASSIFICATION OF NATIONAL SECURITY INFORMATION

5 FAM 482.1 Requirements for Classification

(CT:IM-117; 06-16-2011)

a. Information that warrants classification under E.O. 13526 may be classified:

- (1) By persons to whom original classification authority has been delegated (see 5 FAM 482.2 below), and
- (2) Derivatively on the basis of the original classification of the information by an authorized classifier or pursuant to the Department's Classification Guide (see 5 FAM 482.3 below).

NOTE: Authorization to classify information at a specified level includes

authorization to classify information at a lower level.

b. Original classification: Information may be originally classified under E.O. 13526 only if all the following conditions are met:

- (1) An original classification authority (OCA) is classifying the information;
- (2) The information is owned by, produced by or for, or is under the control of the U.S. Government;
- (3) The information falls within one or more of the categories of information listed in section 1.4 of E.O. 13526 (see 5 FAM 482.5, below); and
- (4) The OCA determines that the unauthorized disclosure of the information reasonably could be expected to result in damage to the national security that can be identified or described.

c. Derivative classification: Information may be classified derivatively in two ways:

- (1) By reproducing, extracting, or summarizing classified information and applying classification markings derived from the source material, or
- (2) As directed by a classification guide (see 5 FAM 482.3, below).

NOTE: Persons who classify information derivatively need a security clearance, but need not possess original classification authority.

5 FAM 482.2 Original Classification Authorities (OCA)

(CT:IM-117; 06-16-2011)

- a. Top Secret Classification Authorities: Pursuant to section 1.3 of E.O. 13526 and the delegations made thereunder by the Secretary, those occupying various positions in the Department and at posts abroad have the authority to classify information originally as Top Secret. The positions include the Secretary, the Deputy Secretaries, the Under Secretaries, Assistant Secretaries, and some Executive Level IV officials and their deputies; Chiefs of Mission, Charges d'affaires, and U.S. Representatives to various international organizations.
- b. Secret and Confidential Classification Authorities: Pursuant to section 1.3 of E.O. 13526 and the delegations made thereunder by the Senior Agency Official, those occupying various positions in the Department and at posts abroad have the authority to classify information originally as Secret or Confidential. The positions include Deputy Assistant Secretaries in the Department; Deputy Chiefs of Mission abroad, and Principal Officers at Consulates General and Consulates abroad.
- c. A complete listing of Top Secret and Secret/Confidential classification authorities may be found on the Intranet.

5 FAM 482.3 Derivative Classification Authority

(CT:IM-117; 06-16-2011)

a. Using the Department's Classification Guide. As explained in Part 2 of E.O. 13526, derivative classification is permitted where classification decisions are made in accordance with the instructions contained in an agency classification guide. The Department's Classification Guide, which may be found on CLASSNET at <http://a.m.state.class/sites/gis/ips/default.aspx>, provides detailed guidance on the proper classification of the types of information most frequently classified by the Department. Use of the Classification Guide is the preferred method of classification and is aimed at ensuring uniformity and conformity with government-wide classification standards. While classifying information based on the Classification Guide is a form of derivative classification, it is different from restating or otherwise using information that is already classified (see 5 FAM 482.3 paragraph b, below). As with the person who reproduces, extracts, or summarizes information that is already classified, the person who applies classification markings based on or as directed by a classification guide need not possess original classification authority. However, it is essential that the material being classified and the level and duration of classification fit within the provisions for classification set forth in the Classification Guide, including the general prohibition against derivatively classifying information for more than twenty-five years on the basis of a classification guide except for information that should clearly and demonstrably be expected to reveal the identity of a confidential human source, a human intelligence source, or key design concepts of weapons of mass destruction, and specific information incorporated into classification guides in accordance with section 2.2(e) of E.O. 13526. The Order also requires that derivative classifiers be identified by name and position on the classified information and that they receive training at least once every two years or they will lose their derivative classification authority.

b. Using Information That Is Already Classified: Another form of derivative classification is the incorporating, paraphrasing, restating, or generating in a new form information that is already classified and then marking the newly developed material consistent with the classified markings that apply to the source information. Although persons who reproduce, extract, or summarize classified information need not possess original classification authority, they must observe and respect the original classification decisions and carry forward to any newly created documents the pertinent classification markings (see 5 FAM 482.11, below). When already classified information is incompletely or improperly marked (e.g., the declassification date is greater than 25 years, no reason is stated, etc.) derivative classifiers should remedy the error. If classifiers have a question when remedying the error, they should ask the first OCA in their supervisory chain or email classification@state.gov or, if classified information is contained, to classification@state.sgov.gov. All sources for derivatively classified information must be identified; when multiple sources are noted, a list of all sources must be included with the new classified document.

5 FAM 482.4 Classification Levels

(CT:IM-117; 06-16-2011)

a. Information may be classified at one of the three levels described below. Except

as otherwise provided by statute (e.g., the Atomic Energy Act for Restricted Data and Formerly Restricted Data), no other terms may be used to identify United States classified information. If there is significant doubt about the appropriate level of classification, it should be classified at the lower level.

- b. "Top Secret" applies to information, the unauthorized disclosure of which reasonably could be expected to cause exceptionally grave damage to the national security that the OCA is able to identify or describe.
- c. "Secret" applies to information, the unauthorized disclosure of which could reasonably be expected to cause serious damage to the national security that the OCA is able to identify or describe.
- d. "Confidential" applies to information, the unauthorized disclosure of which reasonably could be expected to cause damage to the national security that the OCA is able to identify or describe.

5 FAM 482.5 Classification Categories

(CT:IM-117; 06-16-2011)

- a. In order to classify information, it must pertain to one of the following:
 - (1) Military plans, weapons systems, or operations;
 - (2) Foreign government information;
 - (3) Intelligence activities (including covert action), intelligence sources or methods, or cryptology;
 - (4) Foreign relations or foreign activities of the United States, including confidential sources;
 - (5) Scientific, technological, or economic matters relating to the national security;
 - (6) U.S. programs for safeguarding nuclear materials or facilities;
 - (7) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to the national security; or
 - (8) The development, production, or use of weapons of mass destruction.
- b. The Department's Classification Guide contains detailed descriptions of the types of information most frequently encountered at the Department that fall within one or more of these categories.

5 FAM 482.6 Foreign Government Information

(CT:IM-117; 06-16-2011)

- a. For the Department and the Foreign Service, the most important category of national security information is foreign government information (FGI). FGI is defined in E.O. 13526 as:
 - (1) Information provided to the United States by a foreign government or an

international organization of governments or any element thereof with the expectation that the information, its source, or both are to be held in confidence; or

- (2) Information produced by the U.S. under an international arrangement requiring that the information or the arrangement be held in confidence.
- b. FGI may be included in diplomatic notes, aide memoires, non-papers, memcons, telegrams, emails, or other documents reporting conversations with foreign government officials. When in the form of a diplomatic note or other written communication from a foreign government official, it may well not bear any foreign government security classification. It may, nevertheless, require protection and, therefore, require a U.S. security classification to appropriately protect the information. For how FGI should be identified and marked, see 5 FAM 482.10 paragraph f, below.
- c. As provided in section 1.1. (d) of E.O. 13526, the unauthorized disclosure of FGI is presumed to cause damage to the national security.
- d. Recipients of FGI must provide a degree of protection for that information at least equivalent to that required by the foreign government or the international organization that provided the information. When adequate to achieve the required protection, FGI may be handled under standards that are less restrictive than the safeguarding standards that ordinarily apply to U.S. "Confidential" information, including modified handling and transmission and allowing access to individuals with a need-to-know who have not otherwise been cleared for access to classified information or executed an approved nondisclosure agreement. Questions about this aspect of E.O. 13526 should be addressed to DS/SI/IS or the Office of the Legal Adviser (L).
- e. Foreign government information provided pursuant to an existing treaty, agreement, bilateral exchange, or other process may require protection in addition to that established for U.S. classified information. For example, the release or disclosure of foreign government information to any third-country entity may require the prior consent of the originating government.

5 FAM 482.7 Duration of Classification

(CT:IM-117; 06-16-2011)

- a. At the time of original classification, the OCA must establish a specific date or event for declassification based on the duration of the national security sensitivity of the information.
- b. If unable to determine a date or event less than 10 years out, a declassification date that is 10 years from the date of origin should be assigned unless the OCA determines that the national security sensitivity of the information will exceed 10 years, in which case a date or event not to exceed 25 years should be assigned.
- c. The only circumstances in which information may be originally classified for more than 25 years are in order to protect a confidential human source or a

human intelligence source or key design concepts of weapons of mass destruction in which case such information may be originally classified for up to 75 years (see section 3.3(h) of E.O. 13526 and 32 CFR 2001.12 paragraphs (a) (2)(i) and (ii)). This limitation, however, does not preclude extension of classification by an OCA beyond 25 years at some later date (see 5 FAM 482.8, below). Both OCAs and derivative classifiers using the Department of State Classification Guide should mark the duration for classification of human sources as 50X1-HUM or 50X2-WMD as appropriate (X1 and /or 25X1-human are no longer used).

- d. All information classified under the Order and contained in records of permanent historical value under Title 44, U.S.C., is subject to the automatic declassification provisions of section 3.3 of E.O. 13526 (see 5 FAM 484.1, below).
- e. No information may remain classified indefinitely. Information classified under a previous order and marked for an indefinite duration of classification (e.g., information marked "OADR" for "Originating Agency's Determination Required") or classified with incomplete declassification instructions will be subject to automatic declassification 25 years from its origination or initial classification (not its derivative classification) in accordance with section 3.3 of E.O. 13526.
- f. When classifying information derivatively from an already classified source, if a duration of classification is missing or improper (e.g., marked for declassification at a date more than 25 years out or an uncertain event, such as "reunification,"), the derivative classifier should note how the source was marked but also insert a valid declassification instruction in YYYYMMDD format not to exceed 25 years, unless a confidential human source or human intelligence source, or key design concepts of weapons of mass destruction are involved, calling for the 50X1-HUM or 50X2-WMD marking.

5 FAM 482.8 Extending the Duration of Classification

(CT:IM-117; 06-16-2011)

Only an original classification authority with jurisdiction over the information may extend the duration of its classification. Extending the duration of classification requires that all the substantive and procedural requirements for original classification be met, and the extension of classification may not exceed 25 years from origination unless all the requirements of section 3.3 of E.O. 13526 for exempting information from automatic declassification at 25 years are met (see 5 FAM 484.1, below).

5 FAM 482.9 Reclassifying Information

(CT:IM-117; 06-16-2011)

- a. As a general rule, information that has been declassified or marked as unclassified or that is unmarked may be classified or reclassified at any time if:
 - (1) It otherwise meets the requirements for classification; and

(2) It has not been previously released to the public under proper authority.

However, if the information is the subject of a request under the Freedom of Information Act, the Privacy Act, the Presidential Records Act, or the mandatory declassification review provisions of E.O. 13526, it may be classified or reclassified only if such classification or reclassification is approved by the Secretary, a Deputy Secretary, or the Deputy Assistant Secretary for Global Information Services (A/GIS) to whom approval authority for such reclassification has been delegated. When classifying or reclassifying such information, it should be marked in the same way as if it were being originally classified (see 5 FAM 482.10, below).

b. Information that has been declassified and released under proper authority may be reclassified only if:

- (1) The information may be reasonably recovered;
- (2) The reclassification is approved by the Secretary; and
- (3) The reclassification action is promptly reported to the National Security Advisor and the Director of ISO.

5 FAM 482.10 Identification and Markings for Original Classification

(CT:IM-171; 12-11-2015)

- a. Classified information must be uniformly and conspicuously marked in order to leave no doubt about the classified status of the information, the level of protection required, and the duration of the classification.
- b. On the face of each originally classified document, regardless of media, the original classification authority (OCA) must apply the following markings:
 - (1) At the top and bottom of the document or other media, the overall classification;
 - (2) On the "Classified By" line, the identity by name and position or by personal identifier, of the OCA, followed by the office, bureau, or post, and agency of origin, if not otherwise evident;
 - (3) On the "Reason" line, the basis for classification; i.e., one of the classification categories set forth in section 1.4 of E.O. 13526 (and in 5 FAM 482.5 above) and shown by writing the number 1.4 followed by the letter of each applicable category;
 - (4) On the "Declassify On" line, the duration of the classification as shown by the date or event at which it will become unclassified, or 50X1-HUM or 50X2-WMD markings.

EXAMPLE OF ORIGINAL CLASSIFICATION MARKING

Classified By: June Jones, DAS, M/OFM

Reason: 1.4(b) and (d)

Declassify On: 20160303

c. Each portion of a document containing classified information, ordinarily a

paragraph but including the subject line, headers, summaries, tables or graphics, must be marked to indicate its classification level, or that it is unclassified, by placing one of the parenthetical symbols listed below immediately preceding the portion to which it applies. Subject line and header portion markings may appear after the text.

MARKING SYMBOLS

(TS) = Top Secret (S) = Secret
(C) = Confidential (SBU) = Sensitive But Unclassified (U) = Unclassified

- d. The highest classification level of information contained in a document determines the overall classification level of the document. The overall classification should be placed at the top and bottom of all pages of a document including the cover, if any.
- e. A transmittal document should indicate on its face the highest classification level of any document attached or enclosed. A document transmitting classified documents is often, by itself, unclassified or classified at a lower level than the documents it is transmitting. In such a case, it should also carry conspicuously on its face the following instruction, as appropriate.

EXAMPLES OF TRANSMITTAL MARKINGS

Unclassified when classified attachment is removed

Or

Upon removal of attachments, this document is [Unclassified/Classification Level].

- f. As noted in 5 FAM 482.6 above, foreign government information (FGI) requiring protection may appear in a foreign government's documents with or without a security classification marking by that government. It is the responsibility of the recipient U.S. agency to mark such FGI requiring security classification protection with the appropriate level of U.S. security classification marking.
- g. For State Department documents, it will generally be evident from the text or the documents' security classification "reason" marking, e.g., "1.4(b)," that they contain FGI. In the unusual circumstance where the presence of FGI is not evident, the document shall be marked "This Document Contains [country of origin] Information," or simply "contains FGI" if the identity of the foreign government must be concealed. A separate record identifying the foreign government shall be maintained to facilitate eventual declassification. If the fact that the information is foreign government information must be concealed, the document should be marked as if it were wholly of U.S. origin. While other agencies are required to indicate all individual portions containing FGI, the Department has a waiver from ISOO from this requirement. However, Department classifiers incorporating other agencies' FGI marked materials should carry forward those FGI portion markings.
- h. Working papers or drafts, regardless of media, containing classified information shall be dated when created and marked with the highest classification of information contained therein, protected at that level, and if otherwise appropriate destroyed when no longer needed. Working papers must be controlled and marked in the same manner prescribed for a finished document at the same classification level if they are released by the originator outside the

originating agency, retained for more than 180 days from the date of origin, or filed permanently.

- i. Particular care must be taken to insure that any special media, such as floppy disks, CD-ROMs, flash drives, audio or visual tape cartridges, or equipment, are clearly marked so as to show the classification status of the material, the level of protection required, and the duration of the classification.
- j. Information marked with a classification level under this or predecessor orders should be considered classified at that level of classification despite the omission of other required markings. Whenever such information is used in the derivative classification process or is reviewed for possible declassification, holders of such information should coordinate with an appropriate classification authority (e.g., A/GIS/IPS) for the application of the omitted markings.
- k. If the classified information in a document, including a telegram, constitutes a small portion, the classification authority should consider using a separate classified addendum or separate telegram.

5 FAM 482.11 Identification and Markings for Derivative Classification

(CT:IM-117; 06-16-2011)

- a. Information classified derivatively using the Department's Classification Guide should be marked in accordance with the instructions in the Classification Guide.

EXAMPLE

Classified By: June Jones, Director A/GIS/IPS

Derived From: Department of State Classification Guide, 11-01, B

Declassify on 20150312

or

Classified By: June Jones, Director A/GIS/IPS

DRV from DSCG 11-01, B

Declassify on 20150312

- b. Information classified derivatively by incorporating, paraphrasing, restating, or generating in a new form information that is already classified should concisely identify the agency/office of origin and the date of the source on the "Derived From" line.

EXAMPLES

Derived From: DOS Memo, "Funding Issues," 10/20/03, AF to IO

or

Derived From: Multiple Sources, last dated 01/05/04

The derivative classifier should maintain with the file or record copy of the derivatively classified document a listing of the sources used, but it is not necessary to transfer the reason(s) for the original classification decision to the derived document.

- c. The declassification instructions from the source document should be carried forward to the derivatively classified document. When a document is

derivatively classified from multiple sources, the declassification date or event should be the longest declassification date or event of any of the sources. When the document is derivatively classified from a source marked "OADR" or a source showing any of the 25-year exemption markings X1 through X9 (see 5 FAM 485.2, below), the derivative classifier shall carry over the human source exemption or calculate a date that is 25 years from the date of the (latest) source document when determining the derivative document's date or event to be placed on the "Declassify On" line.

EXAMPLES OF DURATION MARKINGS

Declassify On: 20201020 Source Marked "OADR;" dated 10/20/95.

Declassify On: 20280513 Source Marked "X2" and "X8;" dated 05/13/03.

Declassify on 20361228 (Calculate 25 year max when source unmarked)

5 FAM 482.12 Classification Prohibitions

(CT:IM-117; 06-16-2011)

E.O. 13526 prohibits classifying information to conceal violations of the law, inefficiency, or administrative error; to prevent embarrassment to a person, organization, or agency; or to restrain competition; or to prevent or delay the release of information that does not require protection in the interest of national security. However, the Order does permit classification of information concerning the "foreign relations or foreign activities of the United States," so classification of some information that arguably falls within one of the categories above might nonetheless be warranted.

5 FAM 483 UPGRADING/DOWNGRADING CLASSIFIED INFORMATION

5 FAM 483.1 General

(CT:IM-117; 06-16-2011)

- a. Upgrading means changing the level of classified information to a higher level of classification (e.g., Confidential to Secret). Downgrading means changing the level of classified information to a lower level (e.g., Secret to Confidential). Downgrading does not include declassification of information.
- b. Information may be upgraded or downgraded by:
 - (1) The official who authorized the original classification, if that official is still serving in the same position;
 - (2) The successor in function to the official who originally classified the information;

- (3) A supervisory official of either; or
- (4) Officials delegated such authority in writing by the Secretary of State or the Under Secretary for Management.

However, information may be upgraded to or downgraded from Top Secret only by those possessing original Top Secret classification authority or otherwise authorized to make such a change in classification.

5 FAM 483.2 Marking Changes in Classification Level

(CT:IM-117; 06-16-2011)

Documents whose classification level has been changed, such as by upgrading or downgrading, must be marked accordingly. Any previous markings that do not apply must be crossed through and new markings applied. Portion markings must also be changed as required, and the "Classified by" line revised to show the date of the action and the authority for change.

EXAMPLE OF UPGRADING/DOWNGRADING MARKING

Classified by June Smith, Chief, Programs and Policies Division, A/RPS/IPS/PP

Reason: 1.4(b) and (d)

Declassify on 05/03/16

Downgraded to [e.g., CONFIDENTIAL] by John Jones, DAS, A/GIS, January 1, 2010

DECL: 20210503

5 FAM 483.3 Handling of Documents Upgraded to Confidential or Secret

5 FAM 483.3-1 Background

(CT:IM-162; 05-29-2015)

(Office of Origin: A/GIS)

- a. Executive Order (E.O.) 13526 on Classified National Security Information prescribes a uniform system for classifying, safeguarding, and declassifying national security information.
- b. When information has been requested under the Freedom of Information Act (FOIA), it may be classified only under the more restricted terms of Section 1.7(d) of E.O. 13526. This process is commonly referred to as an "upgrade" or as "upgrading" information. Section 1.7(d) of E.O. 13526 states:
 - (d) Information that has not previously been disclosed to the public under proper authority may be classified or reclassified after an agency has received a request for it under the Freedom of Information Act (5 U.S.C. 552), the Presidential Records Act, 44 U.S.C. 2204(c)(1), the Privacy Act of 1974 (5 U.S.C. 552a), or the mandatory review provisions of section 3.5 of this order only if such classification meets the requirements of this order and is accomplished on a document-by-document basis with the personal participation or under the direction of the agency head, the deputy agency head, or the senior agency official designated under section 5.4 of this order. The requirements in this paragraph also apply to those situations in which information has been declassified in accordance with a specific date or event determined by an original classification authority

in accordance with section 1.5 of this order.

5 FAM 483.3-2 Standard Operating Procedure

(CT:IM-162; 05-29-2015)

(Office of Origin: A/GIS)

- a. Information that is identified during a FOIA review may be considered for upgrading.
- b. An upgrade memorandum is drafted by the Under Secretary for Management and the Deputy Assistant Secretary for Global Information Services in the Bureau of Administration (A) who have the authority to upgrade information following this process.
- c. The memorandum is then reviewed.
- d. If the memorandum is approved, the information is upgraded.
- e. Custodians of the information will be notified that the information has been upgraded; this is done so that the information is appropriately handled from that point forward.
- f. The information will be removed from certain systems/networks and placed in appropriate systems/networks.

5 FAM 483.3-3 Notification Text

(CT:IM-162; 05-29-2015)

(Office of Origin: A/GIS)

The following instructions should be sent to Department of State personnel following the classification of a document as Confidential or Secret pursuant to section 1.7(d) of Executive Order 13526 and 5 FAM 482.9 in connection with a request under the Freedom of Information Act. In general, these instructions should be sent to any and all individuals who are identified as having sent or received the newly classified document:

- (1) Begin text of instructions to current employees as follows: You are receiving this message because information that was previously unclassified and that may be in your possession has since been classified as Confidential] or Secret pursuant to Executive Order 13526, section 1.7(d), in connection with a request under the Freedom of Information Act (FOIA). A copy of the document(s) as redacted for FOIA is attached to this email. This is intended to help you find any versions of the document in your possession; and
- (2) Steps to follow:
 - (a) Forward this message to your information systems security officer (ISSO);
 - (b) Conduct an electronic search;
 - (c) Locate any electronic copies of the document in your possession. Keep

in mind that you may have saved copies of messages in email archives or folders, including your sent folder;

- (d) If you locate a copy of the document on OpenNet, determine if the document should be preserved if, for example, you have a continuing need to access the information; it is a Federal record; or it is the subject of litigation or other legal hold. If so, and if you have the appropriate clearance to access and possess the document, you may transfer the document to ClassNet, for example, using SMART or with assistance from the IT service center. If you do not have the appropriate clearance to access and possess the document, please contact your ISSO immediately;
- (e) Upon proper transfer of the document to CLASSNET (thus properly preserving the document), delete all electronic copies of the document in your possession on OpenNet. Additionally, empty your Deleted Items folder;
- (f) Mark the document with the classification information shown on the redacted copy provided and consult your ISSO when necessary;
- (h) Conduct a physical search to locate any nonelectronic copies of the document(s) in your possession. If you locate a copy of the document, and if you have the appropriate clearance to access and possess the document, determine if the document should be preserved since, for example, you have a continuing need to access the information; it is a Federal record; or it is the subject of litigation or other legal hold. If so, mark it appropriately as noted. (See 5 FAM 482.11.) If you do not have the appropriate clearance to access and possess the document, please contact your bureau security officer (BSO) or regional security officer (RSO) immediately;
- (i) Mark the document with the classification information shown on the redacted copy provided and consult your ISSO when necessary;
- (j) Preserve and store properly at least one copy of the document as necessary (5 FAH-4 H-315.1). You may keep additional copies provided they are properly marked and stored in an approved security container authorized for Confidential or Secret material;
- (k) If you are aware of any other individuals who received or were given copies of the document, please forward this notification to them, copying FOIADocResponse@State.Gov, and request that they acknowledge receipt to you and FOIADocResponse@State.Gov;
- (l) Notify your information systems security officer of the actions you have taken, referencing these instructions. Please acknowledge receipt of this message to FOIADocResponse@State.Gov; and
- (m) Note that the classification of this document, pursuant to E.O. 13526, Section 1.7(d), does not in itself indicate that any person previously acted improperly with respect to the document or the information contained therein. Going forward, however, the document is to be

safeguarded in accordance with Department procedures for the handling of classified information.

5 FAM 484 DECLASSIFICATION OF NATIONAL SECURITY INFORMATION

5 FAM 484.1 General

(CT:IM-117; 06-16-2011)

- a. Declassification means an authorized change in the status of information from classified information to unclassified information. Information should be declassified as soon as it no longer meets the standards for classification under E.O. 13526.
- b. Classified information is not declassified automatically because of an unauthorized release of identical or similar information.
- c. Declassification usually occurs as the result of review of information under one of several established programs:
 - (1) Systematic declassification review of 25-year old classified information (see 5 FAM 485, below);
 - (2) Mandatory declassification review of specific information in response to a request pursuant to the Order for its declassification (see 5 FAM 486, below); or
 - (3) Review of classified information retrieved as responsive to an information access request, such as under the FOIA or the Privacy Act. All these programs are administered primarily by the Office of Information Programs and Services (A/GIS/IPS), and any inquiry regarding declassification should be directed to that office.
- d. Declassification may also occur through special declassification projects, some mandated by legislation (e.g., Kennedy Assassination Papers, Nazi War Crimes Documents) and some initiated by the executive branch (e.g., Chile and Argentina Declassification Projects). Such projects are also carried out by A/GIS/IPS. The declassified results of these projects are usually posted on the Department's website on the FOIA homepage.
- e. Declassification may also occur automatically by (1) occurrence of the date or event specified by the original classification authority, or (2) expiration of the maximum time frame for duration of classification established under E.O. 13526, usually 25 years from origination (see 5 FAM 485, below).
- f. Although it is presumed that information that continues to meet classification requirements requires continued protection, section 3.1(d) of E.O. 13526 permits declassification of classified information by the Secretary or the Under Secretary for Management, as an exercise of discretion, when a determination is made that the public interest in disclosure outweighs the need to protect the

information.

5 FAM 484.2 Declassification Authority

(CT:IM-117; 06-16-2011)

a. Information generally may be declassified by:

- (1) The official who authorized the original classification, if that official is still serving in the same position and has original classification authority;
- (2) The originator's successor in function if that official has original classification authority;
- (3) A supervisory official of either if that official has original classification authority; or
- (4) Officials delegated declassification authority in writing by the Secretary of State or the Under Secretary for Management.

b. The Department's primary declassification authority is A/GIS/IPS which has been delegated declassification authority by the Under Secretary for Management. It is advisable to consult A/GIS/IPS on all declassification questions in order to ensure consistency in declassification decisions and updates of archival records in electronic systems. In all cases, A/GIS/IPS should be consulted on declassification determinations that may involve the equities of other agencies, foreign governments, or multiple offices within the Department. Diplomatic Security should be consulted for information sharing decisions for tribal, State, and local governments.

5 FAM 484.3 Declassification Marking

(CT:IM-171; 12-11-2015)

All declassified records, regardless of media format, must be marked in such a manner as to leave no doubt about the declassified status of the information and the identity of the declassification authority. Specifically, the classification marking must be marked through by Xs or a line and include:

- (1) the word "Declassified;"
- (2) the name or personal identifier and the position title of the declassification authority or declassification guide; and
- (3) the date of declassification.

EXAMPLE OF DECLASSIFICATION MARKING

CONFIDENTIAL

Declassified by John Jones,
DAS, Office of Foreign Missions, M/OFM,
08/17/05 [20050817]

5 FAM 485 Automatic Declassification

5 FAM 485.1 Automatic Declassification - General

(CT:IM-171; 12-11-2015)

- a. With certain exceptions, all classified records that are more than 25 years old and are considered to have permanent historical value under 44 U.S.C., are subject to automatic declassification, whether or not they have been reviewed (see section 3.3 of E.O. 13526). Subsequently, with certain exceptions, all classified records are automatically declassified on December 31 of the year that is 25 years from the date of their origin. If the date of origin cannot be readily determined, the date of original classification should be used.
- b. A/GIS/IPS administers the program to review classified records approaching 25 years of age to ensure that any applicable exceptions or exemptions from automatic declassification have been identified and appropriate action taken. Do not assume that a classified record that is more than 25-years old has been declassified. Many have had their classification extended under section 3.3 of E.O. 13526. Consult A/GIS/IPS/PP/DRS: DiplomaticResearch@state.gov.
- c. Records containing information that originated with other agencies or the disclosure of which would affect the interests or activities of other agencies must normally be referred for review to those agencies, and the information of concern shall be subject to declassification only by or with the concurrence of those agencies.
- d. Records containing foreign government information are sometimes governed by an agreement or referred to the originating government for its views on declassification. In the case of records containing FGI, consult A/GIS/IPS. The same is true for records from USUN, NATO, UNESCO, etc.
- e. Classified records within an integral file block, otherwise subject to automatic declassification under this section, are not automatically declassified until December 31 of the year that is 25 years from the date of the most recent record within the file block. An integral file block usually consists of a set of records covering either a specific topic or a range of time, such as a presidential administration. Most integral file block determinations were made prior to the initial automatic declassification deadline of December 31, 2006. Any office or bureau that believes that the integral file block provision may be applicable to some of its records should consult A/GIS/IPS. Under E.O.13526, file blocks containing classified information may span no more than 10 years without a waiver from ISOO/NARA. Offices needing assistance regarding file series currently spanning more than 10 years should consult A/GIS/IPS/PP/LA for assistance via classification@state.gov.
- f. Restricted Data and Formerly Restricted Data are not classified under the provisions of E.O. 13526 and are not subject to the automatic declassification provisions of the Order.
- g. Information exempted from automatic declassification remains subject to the mandatory and systematic review provisions of E.O. 13256 (See 5 FAM 486, below).

5 FAM 485.2 Automatic Declassification Exemptions

(CT:IM-117; 06-16-2011)

- a. Section 3.3 of E.O. 13526 provides that information may be exempted from automatic declassification at 25 years if its release should clearly and demonstrably be expected to:
 - (1) Reveal the identity of a confidential human source, a human intelligence source, a relationship with an intelligence or security service of a foreign government or international organization, or a nonhuman intelligence source; or impair the effectiveness of an intelligence method currently in use, available for use, or under development;
 - (2) Reveal information that would assist in the development, production, or use of weapons of mass destruction;
 - (3) Reveal information that would impair U.S. cryptologic systems or activities;
 - (4) Reveal information that would impair the application of state-of-the-art technology within a U.S. weapon system;
 - (5) Reveal formally named or numbered U.S. military war plans that remain in effect or reveal operational or tactical elements of prior plans that are contained in active plans;
 - (6) Reveal information, including foreign government information, that would cause serious harm to relations between the U.S. and a foreign government, or to ongoing diplomatic activities of the U.S.;
 - (7) Reveal information that would impair the current ability of U.S. Government officials to protect the President, Vice President, and others for whom protection services, in the interest of national security, are authorized;
 - (8) Reveal information that would seriously impair current national security emergency preparedness plans or reveal current vulnerabilities of systems, installations, or infrastructures relating to the national security; or
 - (9) Violate a statute, treaty, or international agreement that does not permit the automatic or unilateral declassification of information at 25 years.
- b. Information subject to exemption from automatic declassification at 25 years because it falls within one of the categories in 5 FAM 485.2 paragraph a may be in the form of:
 - (1) Specific information that falls within one of the exemption categories in 5 FAM 485.2(a);
 - (2) A specific file series of records that the Department proposes to exempt from automatic declassification at 25 years because the information in the file series almost invariably falls within one of the exemption categories in 5 FAM 485.2 paragraph a; or
 - (3) Information specified as exempt from automatic declassification in the Department's Declassification Guide because it falls within one of the

exempt categories in 5 FAM 485.2 paragraph a.

- c. Section 3.3(c)(1) of E.O. 13526 requires that an agency head notify the Inter-Agency Security Classification Appeals Panel (ISCAP) of any specific file series of records the Department has determined to be exempt from automatic declassification because the information in the file series almost invariably falls within one of the subsection (a) exemption categories. The notification to ISCAP must include:
 - (1) A description of the file series;
 - (2) An explanation of why the information in the file series must remain classified for longer than 25 years; and
 - (3) Except for human sources and key design concepts of weapons of mass destruction, a specific date or event for declassification that does not exceed December 31 of the year that is 50 years from the date or origin of the records.
- d. Any proposals for exemption from automatic declassification at 25, 50, or 75 years must be made no earlier than five years nor later than one year before the information is subject to automatic declassification.
- e. The fact that some information may ultimately be determined to warrant exemption from automatic declassification does not mean that the information may be exempted from automatic declassification at the time it is originally classified; i.e., that it may be classified initially for a period longer than 25 years. Normally, A/GIS/IPS will make all determinations regarding whether delay of or exemption from automatic declassification under E.O. 13526 is warranted and how documents are marked to reflect such determinations. The two exceptions to maximum 25 year duration are confidential human sources and design of WMD (50X1-HUM and 50X2-WMD, respectively).

5 FAM 486 DECLASSIFICATION REVIEW

5 FAM 486.1 Systematic Declassification Review

(CT:IM-171; 12-11-2015)

Although the Order requires the systematic review of all permanent historical records exempted from automatic declassification under Section 3.3 of E.O. 13526, the Department conducts a declassification review of all records of historical value, whether in paper, electronic, or special media format, prior to their reaching the automatic declassification age of 25 years. The systematic review program is conducted by A/GIS/IPS and is governed by the ISOO-approved 2001 Department of State 25-year Declassification Guide which identifies types of information that warrants classification beyond 25 years (see section 3.3 of E.O. 13526 and 5 FAM 485.2, above). After review, the records are transferred to the National Archives and Records Administration (NARA). When they are accessioned by NARA, usually when 30 years old, NARA becomes the legal owner of these records and is responsible for their disclosure to the public. Offices that believe they have

information that routinely requires classification beyond 25 years should contact A/GIS/IPS/PP/LA as soon as possible before the Department forwards a revised declassification guide under E.O. 13526 to the Inter-Agency Security Classification Appeals Panel (ISCAP) for approval.

5 FAM 486.2 Mandatory Declassification Review

(CT:IM-117; 06-16-2011)

- a. Requests for review for declassification of specific classified information are known as mandatory declassification review requests. A/GIS/IPS is responsible for administering the Department's mandatory declassification review program required by E.O. 13526.
- b. Unlike FOIA or Privacy Act requests which normally describe a subject to which the request pertains, a mandatory review request must describe or identify the document or material containing the information to be reviewed with sufficient specificity to enable IPS to locate the records with a reasonable amount of effort; i.e., without having to conduct an extensive or broad-based search.
- c. If the information has been reviewed within the past two years or is the subject of pending litigation, the information is not subject to review for declassification, and A/GIS/IPS will inform the requester of this fact and of the requester's right to appeal that decision initially to the Department's Appeals Review Panel and ultimately to ISOO and the Interagency Security Classification Appeals Panel (ISCAP).
- d. Information originated by:
 - (1) The incumbent President or the incumbent Vice President;
 - (2) Their staffs;
 - (3) Committees, commissions, or boards appointed by the incumbent President; or
 - (4) Other entities within the Executive Office of the President that solely advise and assist the incumbent President, is exempted from the mandatory review provisions of E.O. 13526.
- e. In responding to a request for mandatory declassification review, the Department may refuse to confirm or deny the existence or non-existence of a document if the fact of its existence or non-existence would itself be classifiable under E.O. 13526.
- f. Although information may be declassified as a consequence of a mandatory declassification review, its release to the public is still subject to any disclosure exemptions under applicable law, including the FOIA and the Privacy Act.

5 FAM 487 CLASSIFICATION CHALLENGES

(CT:IM-117; 06-16-2011)

Like many of its predecessors, E.O. 13526 encourages challenges to the

classification status of information by authorized holders of information who in good faith believe that its classification status or markings are improper. E.O. 13526 also provides that those making such challenges shall not be subject to retribution for such action. Formal challenges are required to be in writing, but they need not be any more specific than to question why information is or is not classified or is classified at a certain level. Under the Department's regulations (22 CFR 9.8), challenges may be sent via secure means to an original classification authority (OCA) at the Department having jurisdiction over the information (with a copy of the challenge to A/GIS/IPS) or sent directly to A/GIS/IPS (SA2), preferably with copies of the classified documents. The Department (either the OCA or IPS) is required to provide a response in writing within 60 days. A negative response may be appealed to the Department's Appeals Review Panel (also A/GIS/IPS at SA-2) and, ultimately, to the Inter-Agency Security Classification Appeals Panel (ISCAP).

For further information, contact A/GIS/IPS/PP or classification@state.gov, or on ClassNet at classification@state.sgov.gov.

5 FAM 488 RESOURCES

5 FAM 488.1 Training for Original Classification Authorities and Derivative Classifiers

(CT:IM-149; 04-24-2014)

- a. Section 1.3(d) of the Order and Section 2001.70(d)(2) of the Directive require that all original classification authorities (OCAs) receive training in proper classification (including the avoidance of over-classification) and declassification at least once a calendar year. Training shall include instruction on the proper safeguarding of classified information and on sanctions applicable to individuals who fail to classify information properly or protect classified information from improper disclosure. OCAs who fail to receive such training will have their classification authority suspended until such training is received.
- b. Section 2.1(d) of the Order and Section 2001.70(d)(3) of the Directive require that those who apply derivative classification markings receive training in the proper application of derivative classification principles with an emphasis on avoiding over-classification. Derivative classifiers who fail to receive such training at least once every two years will have their authority to apply derivative classification markings suspended until such training is received.

5 FAM 488.2 Classification Guides and Review of Classification Guidance

(CT:IM-117; 06-16-2011)

- a. In accordance with Section 2.2 of the Order and Section 2001.16 of the Directive, A/GIS/IPS, under the direction of M, shall conduct a fundamental classification guidance review no later than June 27, 2012 and at least once every five years thereafter. Such review shall include an evaluation of the

content of the guidance and its use. The Department is required to provide ISOO with a detailed report summarizing the results of each review and to release an unclassified version to the public.

b. As required to keep the Declassification Guide current and in any case no less frequently than every five years, A/GIS/IPS will prepare and submit to the ISCAP for its approval a cleared proposed 25-Year Declassification Guide. Individual requests for exemption from automatic declassification for information not covered by the Guide will be submitted to the ISCAP by A/GIS/IPS no earlier than five years and no later than six months prior to the date for automatic declassification of the information.

5 FAM 489 MANAGING CLASSIFIED INFORMATION

5 FAM 489.1 Performance Evaluation

(CT:IM-117; 06-16-2011)

Like its predecessor, E.O. 13526 requires that the agency head/Senior Agency Official ensure that the system used to rate personnel performance include the designation and management of classified information as a critical element or item to be evaluated in the rating of OCAs and all other personnel whose duties significantly involve the creation of classified information.

5 FAM 489.2 Self-Inspection Programs

(CT:IM-171; 12-11-2015)

a. Section 5.4(d)(4) of the Order requires that agency heads establish and maintain an on-going self-inspection program that shall include regular reviews of representative samples of original and derivative classification actions.

b. Under section 2001.60 of the Directive, the senior agency official (in the Department, the Under Secretary for Management (M)) determines the means and methods for the conduct of self-inspections. Such inspections include:

- (1) Evaluation of the adherence by agency personnel to the principles and requirements of E.O. 13526 and the Directive and the effectiveness of the Department's programs dealing with classified national security information;
- (2) Regular reviews of samples of the Department's original and derivative classification actions; and
- (3) Review of the Department's security directives and instructions.

c. Under the Directive, self-inspections are required to be regular and on-going, conducted at least annually. They are to be documented both internally and externally, with the senior agency official reporting annually to the Director of ISOO on the programs (see 32 CFR 2001).

d. A/GIS/IPS administers the self-inspection program *along with an annual report on classification management and an annual report on cost estimates for protecting national security information, each of which are submitted to ISOO. IPS follows NARA approved guidelines for compiling data and at appropriate times IPS will send data calls to those bureaus determined to hold data relevant to one of ISOO's annual reports. In preparing data in response to IPS' data calls, bureaus are responsible for adhering to the NARA guidelines when collecting the data and validating the data before submitting the results to IPS for inclusion in the Department's ISOO reports.*

5 FAM 489.3 Sanctions

(CT:IM-117; 06-16-2011)

- a. Under the E.O. 13526, the Director of ISOO, which conducts periodic inspections of agency classification activities, is required to report to the head of the agency or its senior agency official any violation of the Order or its implementing directives.
- b. Possible sanctions relating to classification management include reprimand, suspension without pay, removal, termination of classification authority, and other such actions authorized by the Department's regulations.

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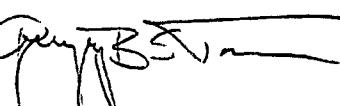
United States Department of State

Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520

UNCLASSIFIED

February 8, 2016

TO: FBI – E.W. Priestap

FROM: DS – Gregory B. Starr 

SUBJECT: Department of State Hillary Clinton E-mail Review

I am writing to follow up on our January 29, 2016 video teleconference regarding the current status of the Department of State's Freedom of Information Act (FOIA) reviews of former Secretary of State Hillary R. Clinton's e-mails.

Late last week the Department reported that 22 Clinton e-mails would not be publically released due to their Top Secret (TS) classification at the request of the Intelligence Community (IC). That is, under FOIA, these e-mails were denied in full, but they are being accounted for in the Clinton e-mail collection. In addition to these 22 TS e-mails, portions of 22 other e-mails have been classified Secret by the State Department or the IC to date. Finally, approximately 1300 e-mails contain sensitive information that was previously redacted and classified as Confidential prior to the e-mails' release under our FOIA process.

The Department of State is prepared to take appropriate administrative action for any instances of mishandling of classified information in accordance with our own internal processes (see Attachment). However, we appreciate the sensitivity of ongoing criminal investigations generally and do not want to compromise or hinder the FBI's current investigation in any way. It has been the normal practice of the Department and the Bureau of Diplomatic Security to delay taking administrative action against current State employees when active criminal investigations of a parallel nature are underway. I request the Department of Justice (DOJ) and FBI inform the Department of State in writing as soon as possible if the Department of State can undertake administrative investigations or inquiries into possible security violations, or if the Department of State should delay due to the current criminal investigation until notification to proceed is received from the DOJ and FBI.

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In addition, following up on my letter of September 15, 2015, please be advised that pending further guidance from the FBI we are still – as a general rule – not removing any emails that have since been classified from any unclassified systems or providing instruction to individuals that may have upgraded classified emails on non-official email accounts to take steps to protect this information.

Finally, Congressional Committees have requested unredacted copies of former Secretary Clinton's classified e-mails. I request DOJ and FBI inform the Department of State in writing as soon as possible as to whether they have any concerns regarding such a possible production of these e-mails in unredacted form at this juncture. I note that we are not considering turning over to any Committee documents the members/staff are not cleared to receive because of their classification level.

Attachment:

Tab – 12 FAM 550 Security Incident Program

Approved: DS - Gregory B. Starr

Drafted: DS -

b6 Per
b7C DOS

Cleared: L - RVisek (ok)

3/24/14
Serial 78

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b7C

HRC-5635



United States Department of State

Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520

UNCLASSIFIED

March 21, 2016

TO: FBI – E.W. Priestap

FROM: DS – Gregory B. Stark 

SUBJECT: Congressional Review of Hillary Clinton E-mails Classified Secret or Confidential

Thank you for your letter of March 8, 2016 (Tab 1), which contained helpful guidance. As a follow-up, I am writing to inform you that the Department of State intends to make available, with limited redactions, versions of the Hillary Clinton e-mails classified as Secret or Confidential during the Freedom of Information Act review process, to appropriately cleared members and staff of the Senate Foreign Relations Committee (SFRC) possibly starting as early as this week. The e-mails may be shared with additional committees in the future. The e-mails will be available for review, either *in-camera* or at the State Department, but will not be retained by the reviewers. In addition, reviewers will not be permitted to make copies or otherwise reproduce the contents of the e-mails during their scheduled review.

The 22 e-mails determined by the IC to contain Top Secret information will not be made available to the SFRC.

Attachments:

Tab 1 – FBI letter dated March 8, 2016

Tab 2 – Department of State letter dated February 8, 2016

UNCLASSIFIED

Approved: DS/FO

Drafted: DS/FO

Cleared: M - KAustin-Ferguson (ok)
L - RVisek (ok)
L/M/DS - KGleeson (ok)
INR - DSmith (ok)
A/GIS - EStein (ok)
H - ZSchram (ok)
DS/Leg - (ok)

b6 Per
b7C DOS

4/17/79
Series 179

b6
b7c

HRC-5638



United States Department of State

*Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520*

UNCLASSIFIED

March 18, 2016

TO: FBI – Peter Strzok

FROM: DS – Gregory B. Starr

SUBJECT: Federal Bureau of Investigation Request for Employment and Security Clearance Information

The Department of State is in receipt of the letter from the Federal Bureau of Investigation (FBI) dated March 2, 2016 (Tab 1), requesting employment and security clearance information for previously identified individuals listed in Tab 2.

As requested, State has collected information for former and current Department of State employees (Tab 3). In those instances in which State could not locate an employee record, "NR" (No Record) is recorded on the spreadsheet. If the FBI is able to provide additional information on those individuals listed as "Too common of a name to identify" for Sensitive Compartmented Information (SCI) access, we will perform another search.

Periods of an individual's access to SCI are listed in the "SCI Status Comments" column. Since State does not know which e-mails the FBI intends to use in interviews, the FBI should determine whether or not the recipients were cleared for access to the information at the time they had access to the e-mails, and if they are cleared for such access now.

The Department of State reiterates that we have no objection to the FBI's plan to use the e-mails in interviews, but believes that any individual, to include legal counsel, with whom these e-mails will be shared must have appropriate security clearances and must be read in to any special access program discussed in the relevant e-mail by the Department of State's Bureau of Intelligence and Research or by the appropriate Intelligence Community element.

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PRESPRO - 79

HRC-5639 b3
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- 2 -

Attachments:

- Tab 1 – FBI letter dated March 2, 2016
- Tab 2 – List of Individuals
- Tab 3 – Employment and Clearance Data

UNCLASSIFIED

HRC-5640

Approved: DS/FO -

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (ok)
L - RVisek (ok)
DGHR - PHoffman (ok)
INR - CBrown (ok)
L/M/DS - KGleeson (ok)
DS/SI - DReid (ok)

b6 Per
b7C DOS

Full Name	Position(s) held (HR)	Employment Status (HR)		Current Security Clearance Status (DS/SI)			Current SCI Status (DS/SI)		SCI Status Comments	b6 Per DOS
		Start date	End date	Active/Inactive	Level	Date	Active/Inactive	Date		
FSO		1/1/2015	PRESENT	Active	TS	1/1/2015	ACTIVE	1/2/2015		
FSO		1/9/2005	PRESENT	Active	TS	11/5/2003	ACTIVE	6/25/2010	ACTIVE - BRIEFED 6/25/2010	
		1/22/2009	2/1/2013	Active	TS	3/7/2013	INACTIVE		DEBRIEFED - 6/30/2009 - 2/13/2013	
NR				No Record					SEE COMMENTS LAST 4 SSN [REDACTED] PER SC, ACTIVE (CIA) - BRIEFED 7/17/2013	
Country Director	3/24/1991	PRESENT	Active	TS	8/5/2015	ACTIVE	12/27/2004	ACTIVE - BRIEFED 12/27/2004		
Senior Advisor	8/17/1972	PRESENT	Active	TS	10/25/2013	INACTIVE			DEBRIEFED - 7/2/2008 - 4/14/2014	
Asst. Secretary	4/6/2009	6/7/2013	Inactive	No Clearance			INACTIVE		DEBRIEFED - 4/7/2009 - 6/6/2013	
Senior Advisor	11/4/2014	11/3/2015	Inactive	TS	2/6/2015	ACTIVE			ACTIVE - BRIEFED SI/TK/G 9/19/1984, HCS 4/25/2001	
Asst. Secretary	6/29/2009	2/8/2013	Inactive	No Clearance			INACTIVE		DEBRIEFED - 7/1/2009 - 2/15/2013	
Country Director	6/2/1990	PRESENT	Active	TS	9/11/2013	ACTIVE	9/22/2005	ACTIVE - BRIEFED 9/22/2005		
Policy Advisor	9/3/2006	PRESENT	Active	TS	2/26/2014	ACTIVE	11/25/2008	ACTIVE - BRIEFED 11/25/2008		
Deputy Director	2/3/2009	3/5/2011	Inactive	No Clearance					SEE COMMENTS DEBRIEFED (DOD DETAIL) - 6/4/2012 - 2/8/2015 (PER SC)	
	1/21/2009	2/1/2013	Active	TS	3/7/2013	ACTIVE	1/22/2009	ACTIVE - BRIEFED 22 JANUARY 2009		
FSO	6/26/2005	PRESENT	Active	TS (Open PR)	4/8/2010	ACTIVE	9/8/2014	ACTIVE - BRIEFED 9/8/2014		
Dep Chief of Mission	9/25/1980	PRESENT	Active	TS	2/18/2009	ACTIVE	10/11/2000	ACTIVE - BRIEFED 10/1/2000		
Rank Ambassador	9/30/1984	9/30/2014	Inactive	No Clearance			INACTIVE		DEBRIEFED - 8/8/2001 - 9/30/2014	
Staff Assistant	5/4/2009	6/30/2012	Inactive	No Clearance			INACTIVE		DEBRIEFED - 9/18/2009 - 6/29/2012	
Asst. Secretary	2/1/1993	unknown	Inactive	No Clearance					SEE COMMENTS LAST 4 SSN [REDACTED] PER SC, ACTIVE (CIA) - BRIEFED 1/17/2014	
NR		SEE COMMENTS		No Record					SEE COMMENTS NOT IN ACTS, CMS, VSTCS, SCATTERED CASTLES BY NAME	
Foreign Affairs Offic	11/14/2001	PRESENT	Active	TS	3/30/2015	ACTIVE	1/26/2009	ACTIVE - BRIEFED 1/26/2009		
	7/5/2009	9/18/2015	Inactive	No Clearance			INACTIVE		DEBRIEFED - 10/9/2009 - 9/18/2015	
Asst. Secretary	1/7/1986	5/31/2012	Inactive	No Clearance			INACTIVE		DEBRIEFED - 4/30/2004 - 5/31/2012	
NR		SEE COMMENTS		No Record					SEE COMMENTS LAST 4 SSN [REDACTED] PER SC, DEBRIEFED (USAF) - 10/17/2005 - 12/10/2014	
Ambassador Extraord	10/15/1985	4/30/2014	Inactive	No Clearance			INACTIVE		DEBRIEFED - 7/17/2001 - 4/10/2014	
Dep Chief of Mission	10/15/1984	PRESENT	Active	TS	10/4/1984	ACTIVE	8/16/2000	ACTIVE - BRIEFED - 8/16/2000		
	3/3/1976	12/14/2012	Inactive	No Clearance			INACTIVE		DEBRIEFED - 2/17/2011 - 12/17/2012	
Ambassador Extraord	8/9/1985	PRESENT	Active	TS	11/30/2012	ACTIVE			SEE COMMENTS ACTIVE - BRIEFED SI/TK/G 1/12/1999, HCS 6/17/2003	
Foreign Affairs Offic	2/21/2016	PRESENT	Inactive	TS	2/12/2016	INACTIVE			DEBRIEFED - 1/12/2000 - 11/16/2015	
FAO Consultant	4/12/2009	11/22/2012	Inactive	No Clearance			INACTIVE		DEBRIEFED - 2/12/2009 - 11/27/2012	
Desk Officer	3/5/2006	7/25/2014	Inactive	No Clearance			ACTIVE	2/9/2007	DEBRIEFED	
Special Assistant	1/22/2009	11/14/2011	Inactive	No Clearance			INACTIVE		DEBRIEFED - 01/16/2009 - 11/04/2011	b6 Per DOS
Foreign Affairs Officer	9/14/2015	PRESENT	Active	TS	9/11/2015				SEE COMMENTS IN ACTS AS "ANNE ELIZABETH JONES," SSN [REDACTED] ACTIVE - BRIEFED 10/28/2011. DS Clearance info based on social listed.	
NR		SEE COMMENTS		No Record					SEE COMMENTS NOT IN ACTS, CMS, VSTCS, SCATTERED CASTLES BY NAME	
NR				No Record					SEE COMMENTS IN SC AS "KENNETH KEEN," SSN [REDACTED] ACTIVE (USAF) - BRIEFED 10/14/2015	
Administrative Asst.	6/15/2012	8/11/2012	SEE COMMENTS	No Record					SEE COMMENTS TOO COMMON OF A NAME TO IDENTIFY	
FSO	9/17/2006	PRESENT	Active	TS	11/8/2012	ACTIVE	3/19/2008	ACTIVE - BRIEFED 3/19/2008		
FSO	10/1/1977	unknown		No Record					SEE COMMENTS TOO COMMON OF A NAME TO IDENTIFY	
	2/17/1986	PRESENT	Active	TS	8/18/2011	ACTIVE	3/31/2004	ACTIVE - BRIEFED 3/31/2004		
Foreign Affairs Offic	10/30/2005	7/14/2012	Inactive	No Clearance			INACTIVE		DEBRIEFED - 3/19/2007 - 8/15/2012	
Senior Advisor	5/31/1992	12/7/2015	Inactive	No Clearance			INACTIVE		DEBRIEFED - 12/1/2002 - 12/20/2013	
NR				No Record					SEE COMMENTS LAST 4 SSN [REDACTED] PER SC, ACTIVE (WHITE HOUSE) - BRIEFED SI/TK/G 11/22/2011, HCS 1/9/2015	
	5/24/2009	7/1/2011	Inactive	No Clearance					DEBRIEFED - 5/29/2009 - 1/16/2014	
FSO	9/7/2003	PRESENT	Active	TS	6/12/2014				SEE COMMENTS TOO COMMON OF A NAME TO IDENTIFY	
Foreign Affairs Offic	5/26/2009	2/3/2014	Inactive	No Clearance			INACTIVE		DEBRIEFED - 1/29/2009 - 2/27/2015	
NR		SEE COMMENTS		No Record					SEE COMMENTS TOO COMMON OF A NAME TO IDENTIFY	
FSO	9/12/1999	PRESENT	Active	TS	2/4/2011	ACTIVE	8/3/2015	ACTIVE - BRIEFED 8/3/2015		
FSO	3/1/1982	PRESENT	Active	TS	4/18/2012	ACTIVE			SEE COMMENTS ACTIVE - BRIEFED SI/TK/G 10/3/1990, HCS 6/23/1999	
FSO	9/1/1985	9/30/2012	Inactive	No Clearance					DEBRIEFED - SI/TK/G 2/6/1989, HCS 6/17/1999 - 11/1/2012	
NR		SEE COMMENTS		No Record					SEE COMMENTS NOT IN ACTS, CMS, VSTCS, SCATTERED CASTLES BY NAME	
FSO	9/7/2003	PRESENT	Active	TS	3/9/2014	ACTIVE	5/1/2009	ACTIVE - BRIEFED 5/1/2009		
	8/2/2013	PRESENT	Active	TS	3/28/2013	ACTIVE	8/1/2013	ACTIVE - BRIEFED 8/1/2013		
FSO	9/1/1998	PRESENT	Active	TS	10/6/2015	ACTIVE	10/12/2005	ACTIVE - BRIEFED 10/12/2005		
Senior Advisor	8/1/1977	11/2/2014	Inactive	TS Suspended 10/20/2014	1/20/2010	INACTIVE			DEBRIEFED - 9/10/2009 - 10/22/2014	
FSO	9/7/2003	PRESENT	Active	TS	7/17/2013				SEE COMMENTS IN ACTS AS "CURTIS RIED" - ACTIVE - BRIEFED 6/21/2006	

Dep Assistant Secreta	2/27/2009	2/8/2013	Inactive	No Clearance		INACTIVE		DEBRIEFED - 5/14/2009 - 10/3/2012
	10/1/1997	6/30/2013	Inactive	No Clearance		INACTIVE		DEBRIEFED - 1/26/2009 - 7/8/2013
FSO	8/1/1992	PRESENT	Active	TS	2/6/2014	ACTIVE	6/23/2004	ACTIVE - BRIEFED 6/23/2004
Special Advisor	1/1/1989	7/4/2009	Inactive	No Clearance		SEE COMMENTS	WITHDRAWN IN ACTS, NEVER BRIEFED	
Principal Deputy Spe	9/7/2003	6/1/2012	Inactive	No Clearance		SEE COMMENTS	LAST 4 SSN [REDACTED] PER SC, ACTIVE (DNI) - BRIEFED 2/18/2014	DEBRIEFED - 6/9/2004 - 7/17/2012
Foreign Affairs Offic	2/1/1990	4/17/2005	Inactive	No Clearance		SEE COMMENTS	LAST 4 SSN [REDACTED] PER SC, ACTIVE (DNI) - BRIEFED 2/18/2014	DEBRIEFED - 6/9/2004 - 7/17/2012
Attorney Advisor Int	1/22/2009	4/15/2014	Inactive	No Clearance		INACTIVE		DEBRIEFED - 1/21/2009 - 4/16/2014
FSO	10/1/1999	5/3/2013	Inactive	No Clearance		INACTIVE		DEBRIEFED - 8/28/2002 - 5/3/2013
	3/1/1993	10/2/2015	Inactive	No Clearance		INACTIVE		DEBRIEFED - 11/2/2001 - 12/1/2015
FSO	6/20/1999	PRESENT	Active	TS	6/2/2010	SEE COMMENTS	IN ACTS AS "EUGENIA MARIA SIDEREAS," SSN [REDACTED] ACTIVE - BRIEFED 13 JUNE 2014	
Foreign Affairs Polic	1/29/2009	12/17/2012	Inactive	No Clearance		INACTIVE		DEBRIEFED - 1/27/2009 - 1/30/2014
FSO	3/9/2003	PRESENT	Active	TS	4/30/2012	ACTIVE	9/26/2014	ACTIVE - BRIEFED 9/26/2014
Senior Advisor	1/22/2009	4/15/2015	Active	TS	9/2/2015	SEE COMMENTS	TOO COMMON OF A NAME TO IDENTIFY (Clearance information provided for Jacob Jeremiah Sullivan (Last four [REDACTED]))	
Asst. Secretary	10/13/2000	11/6/2015	Inactive	No Clearance		INACTIVE		DEBRIEFED - 4/2/2014 - 11/6/2015
NR		SEE COMMENTS	No Record			SEE COMMENTS	NOT IN ACTS, CMS, VSTCS, SCATTERED CASTLES BY NAME	
NR		SEE COMMENTS	No Record			SEE COMMENTS	NOT IN ACTS, CMS, VSTCS, SCATTERED CASTLES BY NAME	
NR			No Record			SEE COMMENTS	LAST 4 SSN [REDACTED] PER SC, ACTIVE (USMC) - BRIEFED 7/21/2014	
FSO	9/1/1981	PRESENT	Active	TS	9/11/2011	ACTIVE	SEE COMMENTS	ACTIVE - BRIEFED SI/TK/G 11/22/1995, HCS 9/17/1999
FSO	7/1/1989	PRESENT	Active	TS	12/18/2013	ACTIVE	SEE COMMENTS	ACTIVE - BRIEFED SI/TK/G 11/4/1992, HCS 11/17/1999
FSO	7/1/1990	PRESENT	Active	TS	5/20/2014	ACTIVE	9/27/2000	ACTIVE - BRIEFED 9/27/2000
FSO	10/1/1999	PRESENT	Active	TS	10/14/2014	ACTIVE	10/10/2001	ACTIVE - BRIEFED 10/10/2001

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Serial 80

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HRC-5644



United States Department of State

Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520

UNCLASSIFIED

March 29, 2016

TO: FBI – Peter Strzok

FROM: DS – Gregory B. Starr *GB*

SUBJECT: Federal Bureau of Investigation Request for Document Denied in Full

The Department of State is in receipt of the letter from the Federal Bureau of Investigation (FBI) dated March 4, 2016 (Tab 1), requesting a copy of the e-mail that was withheld from the February 29, 2016 Freedom of Information Act (FOIA) release of former Secretary of State Clinton's e-mails. As requested, State has researched the circumstances pertaining to this document which is an unclassified fax cover sheet, Document C05767135 (Tab 2).

This document was sent to the FBI for review after the Department of State's FOIA office conducted its own internal review. The FBI proposed a redaction of the Originator's Name under FOIA exemption B6 (privacy). The document was then sent to the National Security Council (NSC) and White House Counsel (WHC). After conducting reviews, NSC/WHC requested the document be Denied In Full (DIF). The document was subsequently returned to the FBI for a second review on February 26, three days before the deadline for the final release February 29.

Separately, on February 29, WHC asked State to change all 10 documents previously requested for DIF to instead be Release In Part (RIP) hours before the deadline. While this request was accommodated for nine of the documents that did not involve FBI equities, there was insufficient time to coordinate clearance for a partial release of Document C05767135 before the deadline, and therefore the document was DIF.

As with other documents in the collection, the Department continues to reconcile comments and issues that are surfacing now that all 50,000-plus pages have been reviewed and posted. We are prepared to release the document as originally proposed by the FBI after your initial review, that is, to be released with only the B6 redaction on the Originator's Name. If the FBI has any objections to

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releasing the document with the previously specified B6 redaction, I request the FBI inform the Department of State in writing.

Attachments:

Tab 1 – FBI letter dated March 4, 2016

Tab 2 – Document C05767135

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HRC-5646

Approved: DS/FO -

b6 Per
b7C DOS

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (ok)
L/M - SProsser (ok)
A - EStein (ok)

C05767135

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FD-448
Revised
10-27-2004

FEDERAL BUREAU OF INVESTIGATION
FACSIMILE COVER SHEET

WHITE HOUSE

Immediate

Priority

Routine

Top Secret

Secret

Confidential

Sensitive

Unclassified

Name of Office:

White House

Receiv

Date: 10/26/2006

Attn:

Mr John Brennan

Room:

Telephone Number:

Name of Office:

FBI/CTD

(including cover)

Originator's Name:

VC

Originator's Name:

Facsimile Number:

Approved:

Subject:

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Brief Description:

Information Faxed:

Information attached to the cover sheet is unclassified. Its unauthorized reproduction, distribution, or use or disclosure is prohibited (18 USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper dissemination.

WARNING:

Information attached to the cover sheet is unclassified. Its unauthorized reproduction, distribution, or use or disclosure is prohibited (18 USC, § 641). Please notify the originator or local FBI Office immediately to arrange for proper dissemination.

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Serial 182

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HRC-5651

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/7/2016

On April 7, 2016, representatives from the Federal Bureau of Investigation (FBI) Washington Field Office (WFO) met with the Bureau of Diplomatic Security (DS) and personnel from the U.S. Department of State (DoS) via SVTC to discuss the captioned matter. Present at the meeting were:

• Bill Priestap	FBI HQ, Assistant Director
• Pete Strzok	FBI HQ, Section Chief
• Jon Moffa	FBI HQ, Section Chief
• [redacted]	FBI HQ, Assistant General Counsel
• [redacted]	FBI WFO, SSA
• [redacted]	FBI WFO, SA
• [redacted]	FBI WFO, SA
• Gregory Starr	DoS, Assistant Secretary Diplomatic Security
• Daniel Smith	DoS, Assistant Secretary Bureau of Intelligence and Research
• Sara Prosser	DoS, Assistant Legal Advisor
• [redacted]	DS Executive Assistant
• [redacted]	DS, Special Assistant
• Catherine Brown	DoS INR, Deputy Assistant Secretary
• Rich Visek	DoS Legal, Deputy Legal Advisor
• Kathleen Austin-Ferguson	DoS M, Sr. Advisor to the Under Secretary for Management
• Austin Evers	DoS, Sr Advisor to the Executive Secretariat

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b6 Per
b7C DOS

The purpose of the meeting was to discuss the current status of several pending FBI requests relevant to the captioned matter. During the meeting the FBI prioritized the outstanding OCA requests and provided additional clarification for instances where the equities of multiple agencies are involved.

Investigation on 4/7/2016 at Washington, DC

File # [redacted] PRESPRO ~ 82 Date dictated N/A

By SA [redacted] [redacted]

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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Serial 84

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HRC-5657

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/22/2016

On April 22, 2016, Special Assistant [redacted] Bureau of Diplomatic Security (DS), U.S. Department of State (DoS) emailed SA [redacted] a complete copy of the March 2009 DS memorandum titled "Use of Blackberries in Mahogany Row" to include the referenced tabs.

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The memorandum was provided to the FBI in response to an FBI Preservation and Production request dated April 18, 2016, which was sent to DoS on April 22, 2016.

Copies of the FBI LHM request and the response provided by DS are attached in a 1A.

Investigation on 4/22/2016 at Washington, DC

File # [redacted] PRESPRO - 84 Date dictated N/A

By SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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5/27/14
Serial 89

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HRC-5671



OIG Office of Inspector General
U.S. Department of State • Broadcasting Board of Governors

UNCLASSIFIED

Via Email to dkendall@wc.com

May 26, 2015

David E. Kendall, Esq.
Williams & Connolly LLP
725 12th Street, NW
Washington, DC 20005

**Re: Department of State and Intelligence Community OIGs Requests to
Preserve Information and Produce Any Additional Responsive Information**

Dear Mr. Kendall:

On behalf of the Department of State Office of the Inspector General (DOS-OIG) and the Office of the Inspector General of the Intelligence Community (IC IG), we are writing to you in your capacity as the representative of former Secretary of State Hillary R. Clinton. The purpose of this letter is to request that Secretary Clinton take appropriate measures to preserve any media in her possession, custody or control that might have contained, at any time, Federal Government data or records, and to produce any additional responsive information of the kind described below not yet produced.

By way of background, the DOS-OIG, in consultation with the IC IG, has commenced a review of the Department of State's policies and procedures concerning the use, if any, by the current and the past four Secretaries of State and their immediate staffs of non-Departmental hardware and software to conduct official business.

On November 12, 2014, Under Secretary of State for Management Patrick M. Kennedy sent a letter to Cheryl Mills to request copies of federal records in the Secretary's possession, custody or control, including emails sent or received on personal email accounts used while serving as Secretary of State. In a December 5, 2014 letter to Under Secretary Kennedy Ms. Mills provided "electronic mails we believe respond to your request."

Based on the foregoing, we ask that Secretary Clinton ensure that any media in her possession, custody or control that might have contained, at any time, federal government data or records be preserved, and that she produce any additional responsive information not yet produced. This preservation request encompasses all forms of media and/or devices used to store electronic messages and/or data of any kind. That includes backup tapes and other forms of electronic media storage, servers, cloud storage, on line accounts, removable discs, hard drives and hard copies. Relatedly, we ask that any media and/or device used at any time to store electronic data not be destroyed, deleted, recycled and/or overwritten until such time as a perfect clone of the media and/or device is created and preserved.

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If you have any questions, please contact [redacted] or David Z. Seide. Their respective email addresses (and telephone numbers in parentheses) are [redacted] ((571) 204-8002) and seidedz@state.gov ((202) 663-0364). We would appreciate receiving a response from you at your earliest convenience. Please let them know if you require more than five days to provide such a response.

b3 Per DOS

Thank you for your time and attention.

Very truly yours,



Steve A. Linick
Inspector General
Department of State



I. Charles McCullough, III
Inspector General
Intelligence Community

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Serial 91

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HRC-5685

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/31/2016b6 Per FBI, DOS
b7C Per FBI, DOS

On May 31, 2016, SA [redacted] electronically sent the Department of State (DoS) an LHM dated May 31, 2016, to request information concerning the following email accounts: SSHRC@State.gov and SMSGS@State.gov. The LHM was received by [redacted] Special Assistant, Bureau of Diplomatic Security, [redacted]@state.gov

A copy of the LHM is maintained in an attached 1A.

Investigation on 5/31/2016 at Washington, DCFile # [redacted] PRESPRO -91 Date dictated N/ABy SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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HRC-5686

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Serial 92

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HRC-5687



United States Department of State

*Assistant Secretary of State
for Diplomatic Security
Washington, D.C. 20520*

UNCLASSIFIED

June 6, 2016

TO: FBI – Peter Strzok

FROM: DS – Gregory B. Starr 

SUBJECT: Federal Bureau of Investigation Request for Information on Two
Department of State E-mail Accounts

The Department of State is in receipt of the letter from the Federal Bureau of Investigation (FBI) dated May 27, 2016 (attached), requesting information on the usage and purpose of two e-mail accounts, smsgs@state.gov and sshrc@state.gov.

smsgs@state.gov, which stands for “S Messages,” was created July 13, 2009 and is still currently in use. It is used to send e-mail messages from the Secretary to all Department of State employees, e.g. holiday greetings. This account is not configured to receive e-mails, and all messages sent from this account are journaled by the Office of the Secretary of State, Executive Secretariat - Information Resource Management (S/ES-IRM). The messages sent from this address are also logged in Everest by the Executive Secretariat Staff.

S/ES-IRM created sshrc@state.gov on December 5, 2008 to manage an Outlook calendar for Secretary Clinton, since it is not possible to manage calendars in Outlook without a mailbox. S/ES-IRM did not configure this account to send or receive e-mails other than calendar invitations. This account was deactivated February 1, 2013. The contents of the calendar have been retired as part of Secretary Clinton’s permanent records.

Attachment:

FBI letter dated May 31, 2016

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HRC-5688

 - PRES PRO - 92

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Approved: DS/FO -

b6 Per
b7C DOS

Drafted: DS/FO -

Cleared: M - KAustin-Ferguson (ok)
L/M - SProsser (ok)
S/ES-S - KLang (ok)

6/4/14
Serial 93

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HRC-5690

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/1/2016

On June 1, 2016, Special Assistant [redacted] Bureau of Diplomatic Security (DS), U.S. Department of State (DoS) emailed SA [redacted] a complete copy of the March 2011 DS memorandum regarding cyber security threats.

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The memorandum was provided to the FBI in response to an FBI request for the referenced memorandum, which was sent to DoS on June 1, 2016.

Copies of the FBI LHM request and the response provided by DS are attached in a 1A.

Investigation on 6/1/2016 at Washington, DC

File # [redacted] PRESPRO - 93 Date dictated N/A

By SA [redacted]

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

HRC-5691

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Serial 94

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HRC-5692

-1-

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/6/2016

On June 3, 2016, SA [redacted] emailed Special Assistant [redacted] Bureau of Diplomatic Security (DS), U.S. Department of State (DoS) two LHM requests. One request was for a 2009 DOS memorandum regarding communication equipment in the Secretary's residence and the second requested contact information for [redacted]

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Copies of the FBI LHM requests are attached in a 1A.

Investigation on 6/6/2016 at Washington, DC

File # [redacted] PRESPRO - 94 Date dictated N/A

By SA [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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HRC-5693

6/15/16
Serial 96

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HRC-5696



United States Department of State

Assistant Secretary of State
for Diplomatic Security

Washington, D.C. 20520

June 9, 2016

~~SENSITIVE BUT UNCLASSIFIED/LAW ENFORCEMENT SENSITIVE~~

TO: FBI – Peter Strzok

FROM: DS – Gregory B. Stark


JUN 09 2016

SUBJECT: Federal Bureau of Investigation Request for 2009 Document

~~(SBU/LES)~~ The Department of State is in receipt of the letter from the Federal Bureau of Investigation (FBI) dated June 3, 2016 (Tab 1), requesting a copy of the document referenced on page 255 of the transcript of Cheryl Mills' May 27, 2016 deposition.

~~(SBU/LES)~~ The referenced document is attached (Tab 2). Please note that this document is not a formal memorandum to a State Department principal, but a working-level, informal meeting agenda.

Attachments:

Tab 1 – FBI letter dated June 3, 2016

Tab 2 – S-ES-IRM notes 2009

~~SENSITIVE BUT UNCLASSIFIED/LAW ENFORCEMENT SENSITIVE~~

HRC-5697

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Approved: DS/FO -

b6 Per
b7C DOS

Drafted: DS/FO -

Cleared: M - GStanford (ok)
L/M - SProsser (ok)
S/ES-S - KLang (ok)

UNCLASSIFIED//~~FOUO~~



U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001

Date: 3 June 2016

To: Gregory B. Starr
Assistant Secretary
Bureau of Diplomatic Security

From: Peter Strzok
Section Chief
Counterespionage Section

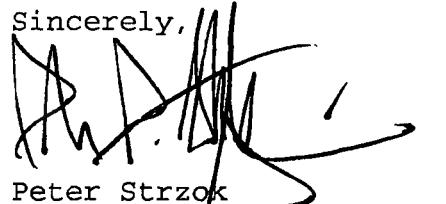
Subject: Request for 2009 memorandum from IRM regarding communication equipment in the Secretary's residence.

(U//~~FOUO~~) Pursuant to the FBI's ongoing investigation into the potential compromise of classified information, the FBI requests a copy of the 2009 IRM memorandum regarding communication equipment in the Secretary's residence. The memorandum is referenced on page 255 of the transcript of Cheryl Mills' May 27, 2016 deposition. Based on this transcript it is believed that Ms. [redacted] may have been a party to the memorandum in question.

b6 Per
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(U//~~FOUO~~) Please direct all inquiries regarding this request to Special Agent [redacted] at [redacted]

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Sincerely,

Peter Strzok
Section Chief
Counterespionage Section

♦♦

UNCLASSIFIED//~~FOUO~~

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

From: Lee, Purcell N
Sent: Tuesday, March 17, 2009 1:35 PM
To: Waggoner, Kevin L; Bentel, John A; Scott, Andrew C
Cc: Duncan, Bruce E
Subject: Secretary Residential Installation Hotwash
Attachments: Secretary Residential Installation Hotwash.docx

Attached is the agenda/talking points for the hot wash.

Secretary Residential Installation Hotwash

1. Equipment location:

- a. Unclassified Partner System:**
 - i. Server: Basement Telephone Closet
 - ii. Telephone Set: Various rooms

- b. Classified Fax:**
 - i. STE/Secure Fax: Third Floor

- c. Classified Red Switch: Third Floor**

2. Status of Installation:

- a. Unclassified Partner Telephone System:** Completed.
- b. Classified STE/Fax:** Completed
- c. Classified Red Switch:** Completed
- d. Unclassified Ops Drop:** Verizon is still working to finalize path.
- e. CMS Classified Video:** Declined
- f. CMS Classified Voice:** Declined

3. Issues:

- a. T1 Telephone Services were not available upon arrival**
- b. Analog lines (2) for the Partner system was not ordered.**
- c. Red Switch Technicians arrived 2 days later than scheduled.**
- d. SDS Data Cable was left in Washington**
- e. Former President's wireless headset was disconnected**
- f. Secretary Clinton's headset noise cancelling was not selected**
- g. Speed Dial for Secretary Clinton Unclassified telephone was not working properly.**
- h. Secretary's Clinton's business lines were not set up in a "Hunt Group"**

1A7

FD-340 (Rev. 4-11-03)

File Number

PRESPRO

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Field Office Acquiring Evidence WFO

Serial # of Originating Document 10

Date Received 9/10/15

From _____
(Name of Contributor/Interviewee)

(Address)

(City and State)

By _____

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

Yes No

Federal Taxpayer Information (FTI)

Yes No

Title: MIDYEAR EXAM.

Reference: _____
(Communication Enclosing Material)

Description: Original notes re interview of

NPC RESPONSE TO 9/1 PRESERVATION
REQUEST

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From: [REDACTED] (WF) (FBI)
Sent: Thursday, September 10, 2015 5:16 PM
To: [REDACTED]
Subject: FW: Email Domain Audit Request

Thanks [REDACTED]

b6
b7C

From: Williams, Michael [mailto:Michael.Williams@nrc.gov]
Sent: Thursday, September 10, 2015 4:40 PM
To: [REDACTED] (WF) (FBI) [REDACTED] (WF) (FBI)
Cc: Offutt, David; Chew, Elizabeth; Gareri, Mario; Paradiso, Karen; Webber, Robert
Subject: Email Domain Audit Request

Good afternoon [REDACTED]

b6
b7C

As discussed with [REDACTED] on the telephone this afternoon, we found zero email messages to/from the provided email domain.

Here is the detailed search activities and summary information.

b7E Per NRC

Search #1: - Completed

Result:

No matches.

b7E Per NRC

Search #2: - Completed

Result:

No matches.

b7E Per NRC

Search #3: - Completed

Result:

No matches.

b7E Per NRC

Search #4: - Completed

Result:

The search resulted in two matches. Both matches were related to external FOIA requests.

- Item #1: Publicly available document -

<https://adamswebsearch2.nrc.gov/webSearch2/main.jsp?AccessionNumber=ML15167A092>

- Document: SECY-15-0084: Weekly Information Report - Week Ending June 12, 2015.
- Accession Number: ML15167A092

- Document Class: Official Record
 - Availability: Yes (Public)
- Item #2: Private (internal-only) document
 - Document: FOIA/PA 2015-0317 (RE: FREEDOM OF INFORMATION ACT REQUEST)
 - Accession Number: ML15159A527
 - Document Class: Record
 - Availability: No

Search #5: - Completed

b7E Per NRC

Result:

The search resulted in one match which was the same FOIA related document as provided in Search #5 Item#2 above.

Search #6: - Completed

b7E Per NRC

Result:

No matches.

Thank you,
Michael Williams

1A15

FD-340 (Rev. 4-11-03)

File Number

PDES/PRO

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Field Office Acquiring Evidence WFO

Serial # of Originating Document 21

Date Received 10/6/15

From Department of STATE
(Name of Contributor/Interviewee)

(Address)

(City and State)

By

To Be Returned Yes

No

Receipt Given Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes

No

Federal Taxpayer Information (FTI)

Yes

No

Title:

MIDYEAR EXAM

Reference:

(Communication Enclosing Material)

Description: Original notes re interview of

REQUEST FOR PRODUCTION OF PERSONNEL
RECORDS

COORDINATION MEETING ATTENDEE LIST

1A15



**U.S. Department of Justice
Federal Bureau of Investigation
Washington, D.C. 20535-0001**

October 6, 2015

Gregory B. Starr
Assistant Secretary, Bureau of Diplomatic Security
U.S. Department of State
2201 C Street, NW
Washington, D.C. 20520

Re: Request for Production of Records

Dear Assistant Secretary Starr:

This request supplements a prior request sent to the U.S. Department of State on August 11, 2015, wherein the FBI requested personnel records to include security, training, and clearance records for fifteen (15) current and/or former U.S. Department of State employees.

The FBI received a response to the aforementioned request from your office, dated August 13, 2015. However, the information provided was only partially responsive to the request, as the FBI only received "employee profiles" and some "SF-312s - Classified Information Nondisclosure Agreements" related to relevant individuals.

The FBI respectfully re-requests the U.S. Department of State to provide personnel records for 14 of the 15 individuals contained in the initial request, as well as personnel records for an additional 21 U.S. Department of State employees listed below. [REDACTED] was removed from the August 11, 2015 request, as it was determined his personnel records would not have been maintained by the U.S. Department of State.

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August 11, 2015 Request

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October 6, 2015 Additions

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The FBI understands that many individuals in this request are senior level Department of State personnel. The FBI will work with your office to appropriately scope the production to ensure the information is relevant to the investigation and not unnecessarily broad.

The FBI also respectfully informs you of its intent to contact these individuals regarding this matter.

You are also requested not to disclose the existence of this request to individuals identified above or any other person, other than as necessary to comply with this request, as the FBI's investigation may be jeopardized by this type of disclosure.

If you have any questions regarding this request, please direct all inquiries to Special Agent [redacted] who can be reached at [redacted]

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b7C

Sincerely


Peter P. Strzok
Assistant Special Agent in Charge
Washington Field Office

10/6

NAME

OFFICE

PHONE

Peter Shrock

FBI ASAC WF

--

b6
b7C

Steven Taylor

DOS CIO

647-2889

Glen Johnson

DOS DCIC

202-634-3683

Kevin M. Gleeson

DOS L/M/IDS

571-345-3006

Rich Visek

DOS L

202-647-8460

Sarah Prosser

DOS L/M

202-647-2227

Catherine Brown

DOS LNR

202-647-7754

GREG STARR

DOS IDS

202-647-6290

John Giacalone

FBI

Randall C Coleman

FBI

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FBI
FB1
DS

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Kathleen Austin Ferguson

State/4

202-647-40728

Charles Kable

FBI

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FBI OO:L

FBI

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b7C Per FBI, DOS

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